CERTIFICATION OF FINANCIAL RESPONSIBILITY

Source: §280.111(b) (11) (i) as adopted by A.A.C. R18-12-301(C)

Note: This form must be submitted in addition to financial responsibility documentation on an annual basis.

________________________ hereby certifies compliance with the requirements of Subpart H of 40 CFR Part 280. The financial assurance mechanism(s) used to demonstrate financial responsibility (FR) under Subpart H of 40 CFR Part 280 is (are) as follows:

**Type of Mechanism** (check all that apply):

- [ ] Financial Test of Self-Insurance
- [ ] Guarantee
- [ ] Insurance or Risk Retention Group
- [ ] Surety Bond
- [ ] Letter of Credit
- [ ] Trust Fund
- [ ] Standby Trust
- [ ] Certificate of Deposit
- [ ] Local Government Bond Rating Test
- [ ] Local Government Financial Test
- [ ] Local Government Guarantee
- [ ] Local Government Fund

**Name of Insurer/Company providing FR:** __________________________________________________________

**Mechanism Number (Insurance Policy No.):** __________________________________________________________

**Amount of Coverage:** Per occurrence: $__________________ Annual aggregate: $__________________

**Effective Period of Coverage:** From _____________________________ To _____________________________

**Included Coverage** (check all that apply)

- [ ] “Taking corrective action”
- [ ] “Compensating third-parties for bodily injury and property damage”
- [ ] “Sudden accidental” releases
- [ ] “Non-sudden accidental’ releases
- [ ] “Accidental” releases

[Signature of Witness or Notary] [Signature of owner or operator]

[Name of Witness or Notary] [Name of owner or operator]

[Date] [Title]

[Date] [Telephone and Email of owner or operator]

Please submit this form and supporting documents by email or mail. Email is preferred. If the documents are emailed, hard copies do not need to be mailed.

AZ Dept. of Environmental Quality, ATTN: Jordan Smith  1110 W. Washington St.  Phoenix, AZ 85007
Email: ustfr@azdeq.gov  Telephone: (602) 771-0354  (800) 234-5677, ext. 771-0354
Please Review your policy to make sure the following is included:

* Please note that ACORDs, Declarations and Binders do not meet the requirements for demonstrating financial responsibility compliance.

☐ Certification of Financial Responsibility (AZ updated Form)

☐ Schedule of Covered Storage Tanks with applicable retroactive dates
  - per ARS 49-1006.01.C must be on or before one of the following:
    o The date of the most recent baseline assessment conducted pursuant to section 49-1052 or comparable site characterization as determined by the department.
    o The date of the underground storage tank system installation.
    o The earliest retroactive coverage date of the previous insurance policy or alternative financial responsibility mechanism.

☐ Endorsement or Certificate of Insurance with required language in accordance to 40 CFR 280.97(b) (1) or 40 CFR 280.97(b) (2)

☐ Insured name on policy matches to owner/operator name of the tanks