



ENGINEERING REVIEW SECTION

NOTICE OF TRANSFER

4.01 GP – SEWAGE COLLECTION SYSTEM

INSTRUCTIONS

This form allows for the transfer of either a Construction Authorization or a Discharge Authorization of a Type 4.01 General Aquifer Protection Permit, in accordance with Arizona Administrative Code R18-9-A304. The permittee shall provide this notice to ADEQ or to the appropriate County delegated agency that has been authorized by the Director to administer Type 4.01 General Permits, by certified mail within 15 days after the date that ownership changes. A new Notice of Intent to Discharge may be required if the volume or characteristics of the discharge have changed.

FACILITY NAME

The facility name should be the same as the original Notice of Intent to Discharge for the authorization being transferred.

PERMITTEE

The Permittee is the applicant identified in the Notice of Intent to Discharge. If the authorization/permit has been previously transferred, the Permittee is the person who currently holds the authorization/permit.

TRANSFeree

The Transferee is the person to whom the permit is being transferred and will assume responsibility for the facility's compliance with the general permit conditions. In addition, the Transferee must:

- 1 Meet the definition of a "person" in accordance with R18-9-101(29) and A.R.S. 49-201 (26);
- 2 Be the owner and/or the operator of the facility or the property owner where the system is to be installed.
 - a. The facility owner is a person holding legal, equitable or possessory interest in the facility.
 - b. The facility operator is a person in control or having responsibility for the facility seeking the discharge authorization.
- 3 Corporations, Limited Liability Companies and Partnerships must be registered with the Arizona Corporation Commission;

FEE

The transfer of ownership fee is on the ADEQ review fees website: azdeq.gov/SepticSewerFees

SITES WITH MULTIPLE PERMITS

One Notice of Transfer must be submitted for each permit. Sites with multiple permits must submit the applicable Notice of Transfer form with the applicable fee for each permit being transferred.

WHERE TO SUBMIT THE NOTICE OF TRANSFER

This Notice of Transfer will be processed by the ADEQ Engineering Review Section or the appropriate County delegated agency. Please visit the delegated agency matrix at <http://azdeq.gov/enviro/water/permits/download/appsub.pdf> to determine submittal location.



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1 Type 4.01 Permit Number	
Permit Number (ADEQ File Number) _____, and LTF Number _____ <input type="checkbox"/> Attached are copies of all certificates (construction and/or discharge authorizations) that have been issued for this project.	
2 Facility Name (See Instructions)	
Facility Name _____	
3 Permittee	
Name _____	Phone _____
Title _____	Firm Name _____
Mailing Address _____	City _____ State _____ Zip _____
E-mail Address _____	
4 Transferee	
Name _____	Phone _____
Title _____	Firm Name _____
Mailing Address _____	City _____ State _____ Zip _____
E-mail Address _____	
5 Provide any Information that has changed from the original Notice of Intent to Discharge	
<input type="checkbox"/> Description of change is on attachment.	
6 Certification of Compliance (To be Completed by the Permittee)	
I, _____, certify that this document was prepared under my direction or supervision and all information is, to the best of my knowledge, true, accurate and complete. I also certify that the sewage collection system described in this form has been constructed, designed, and/or operated in accordance with the terms and conditions of the Type 4.01 General APP (A.A.C. R18-9-E301) and applicable requirements of A.R.S. Title 49, Chapter 2, and A.A.C. Title 18, Chapter 9 regarding Aquifer Protection Permits. I am aware that there are significant penalties for submitting false information, including permit revocation.	
Signature _____	Date _____
7 Certification of Compliance (To be Completed by the Transferee)	
I, _____, certify that the sewage collection system described in this form is or will be constructed, designed, and operated in accordance with terms and conditions of the Type 4.01 General Aquifer Protection Permit (A.A.C. R18-9-E301) and applicable requirements of Arizona Revised Statutes Title 49, Chapter 2, and Arizona Administrative Code Title 18, Chapter 9 regarding Aquifer Protection Permits. I am aware that there are significant penalties for submitting false information including permit revocation.	
Signature _____	Date _____
DEPARTMENT USE ONLY	
Check # and Fee Paid _____	DATE STAMP
Date NOT Entered into Database/Initial _____	
