

# **State of Arizona Exceptional Event Documentation of a High Wind Dust Event PM<sub>10</sub> Exceedance on May 17, 2016 in the Maricopa County PM<sub>10</sub> Nonattainment Area**

Produced by:

Arizona Department of Environmental Quality  
Maricopa County Air Quality Department  
Maricopa Association of Governments

DRAFT Report  
April 2017



**High Wind Dust Event as Captured by the  
White Tank Mountain Visibility Camera at 6:00 PM on May 17, 2016**

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## I. INTRODUCTION

This documentation is being submitted to the Environmental Protection Agency (EPA) to demonstrate that an exceedance of the 24-hour PM<sub>10</sub> standard at the Dysart monitor in the Maricopa County PM<sub>10</sub> nonattainment area on May 17, 2016 should be excluded from use in determinations of exceedances or violations of the 24-hour PM<sub>10</sub> National Ambient Air Quality Standards (NAAQS) as an exceptional event caused by a high wind dust event. This documentation serves to meet the requirements of Clean Air Act Section 319(b) (Air quality monitoring data influenced by exceptional events) and the EPA final rule, *Treatment of Data Influenced by Exceptional Events* (81 FR 68216), as codified in 40 CFR Sections 50.1 and 50.14. Additionally, state and local agencies are in the process of developing a mitigation plan for the Maricopa County PM<sub>10</sub> nonattainment area to meet the requirements of 40 CFR Section 51.930. The mitigation plan will be submitted to EPA by September 30, 2018, as required by 40 CFR Section 51.930(b)(3).

### Summary of the Exceptional Event

On May 17, 2016, a large low pressure system moved through the Maricopa County PM<sub>10</sub> nonattainment area, generating thunderstorm outflows which created a high wind dust event in the region. The National Weather Service issued a blowing dust advisory for the region as a result of the thunderstorm outflows. The advisory predicted sustained winds of 20 to 30 mph with gusts to 45 mph, and localized visibilities falling below 1 mile at times. The northwest thunderstorm outflow winds moved rapidly across the nonattainment area, transporting windblown dust southeast across the nonattainment area and into Pinal County. Nonattainment area monitors recorded sustained northwesterly winds above 30 mph and gusts above 40 mph at multiple sites.

PM<sub>10</sub> concentrations were elevated throughout the evening (5:00 – 8:00 PM) within the nonattainment area in response to the windblown dust generated by the thunderstorm outflow winds, but quickly returned to normal levels once the thunderstorm outflows exited the nonattainment area. One monitor (Dysart) located in the northwestern portion of the nonattainment area (nearest to the source area of the thunderstorm outflow) exceeded the 24-hour PM<sub>10</sub> standard as a result of the high wind dust event, as listed in Table 1-1. Source areas identified as contributing to the windblown dust that caused the high and exceeding PM<sub>10</sub> concentrations primarily include the natural, desert areas of La Paz County, Arizona and northwestern Maricopa County. For the limited areas within the Maricopa County PM<sub>10</sub> nonattainment area that are anthropogenic sources of windblown dust, reasonable controls at these areas were overwhelmed by the strength of sustained winds which exceeded 30 mph at several locations within the nonattainment area.

**Table 1-1. PM<sub>10</sub> Monitors Affected by the High Wind Dust Event.**

Monitor Name	County	Operating Agency	Monitor ID	Exceeding 24-Hour PM <sub>10</sub> Concentration
Dysart	Maricopa	Maricopa County Air Quality Department	04-013-4010	173 µg/m <sup>3</sup>

## **Statutory and Regulatory Requirements**

Clean Air Act Section 319(b) defines an exceptional event as an event that:

- (i) affects air quality;
- (ii) is not reasonably controllable or preventable.;
- (iii) is an event caused by human activity that is unlikely to recur at a particular location or a natural event; and
- (iv) is determined by the Administrator through the process established in the regulations promulgated under paragraph (2) [Regulations] to be an exceptional event.

EPA regulation in 40 CFR Section 50.1(j) further defines an exceptional event as:

“...an event(s) and its resulting emissions that affect air quality in such a way that there exists a clear causal relationship between the specific event(s) and the monitored exceedance(s) or violation(s), is not reasonably controllable or preventable, is an event(s) caused by human activity that is unlikely to recur at a particular location or a natural event(s), and is determined by the Administrator in accordance with 40 CFR 50.14 to be an exceptional event. It does not include air pollution relating to source noncompliance. Stagnation of air masses and meteorological inversions do not directly cause pollutant emissions and are not exceptional events. Meteorological events involving high temperatures or lack of precipitation (*i.e.*, severe, extreme or exceptional drought) also do not directly cause pollutant emissions and are not considered exceptional events. However, conditions involving high temperatures or lack of precipitation may promote occurrences of particular types of exceptional events, such as wildfires or high wind events, which do directly cause emissions.”

EPA regulation in 40 CFR Section 50.14(c)(3)(iv) states that a demonstration to justify the exclusion of monitor data as an exceptional event must include:

- (A) A narrative conceptual model that describes the event(s) causing the exceedance or violation and a discussion of how emissions from the event(s) led to the exceedance or violation at the affected monitor(s);
- (B) A demonstration that the event affected air quality in such a way that there exists a clear causal relationship between the specific event and the monitored exceedance or violation;
- (C) Analyses comparing the claimed event-influenced concentration(s) to concentrations at the same monitoring site at other times to support the requirement at paragraph (c)(3)(iv)(B) [clear causal relationship] of this section. The Administrator shall not require a State to prove a specific percentile point in the distribution of data;
- (D) A demonstration that the event was both not reasonably controllable and not reasonably preventable; and
- (E) A demonstration that the event was a human activity that is unlikely to recur at a particular location or was a natural event.

Additionally, specific regulatory requirements related to demonstrations for high wind dust events are included in 40 CFR Section 50.14(b)(5). Details on how the statutory and regulatory requirements are addressed in this documentation are presented in the bulleted list below:

- Chapter II of this assessment includes a narrative conceptual model that describes the genesis of the high wind dust event and how PM<sub>10</sub> emissions from the high wind dust event caused the PM<sub>10</sub> exceedance on May 17, 2016 in the Maricopa County nonattainment area.
- Chapter III provides a detailed body of evidence that the event affected air quality through the clear causal relationship between the PM<sub>10</sub> emissions from the high wind dust event and the exceedance at the Dysart monitor in the Maricopa County PM<sub>10</sub> nonattainment area. Section III also includes an analysis comparing the event-influenced exceeding PM<sub>10</sub> concentration at the Dysart monitor to historical PM<sub>10</sub> concentrations at the monitor.
- Chapter IV presents evidence that the high wind dust event was a natural event and that the high wind dust event was neither reasonably controllable nor preventable.
- Chapter V includes a summary conclusion of the evidence presented in Chapters II-IV.

### **Procedural Requirements**

This procedural requirements for submitting a demonstration to EPA for an exceptional event are included in 40 CFR Section 50.14(c). The procedural requirements include the schedules and procedures for notifying the public when an event occurs; for providing EPA with the initial notification of a potential exceptional event; and for documenting the public comment process. Specific procedural requirements are presented below:

- 40 CFR Section 50.14(c)(1)(i) – Public notification that event was occurring:

The Arizona Department of Environmental Quality (ADEQ) issued an ensemble air quality forecast for the Greater Phoenix area on May 16, 2016 and a dust control forecast for Maricopa County that discuss the possibility of blowing dust and elevated PM<sub>10</sub> concentrations as a result of thunderstorm outflows from the approaching low pressure system. The forecast products that were issued on May 16, 2016 are included in Appendix A.

- 40 CFR Section 50.14(c)(2)(i) – Initial notification of potential exceptional event by creating an initial event description and flagging the associated data that have been submitted to the AQS database:

The Maricopa County Air Quality Department (MCAQD) has created an initial event description (high wind dust event) and flagged the associated air quality monitoring data for May 17, 2016 as an exceptional event in AQS. The following monitor has been flagged as exceeding the PM<sub>10</sub> standard on May 17, 2016 as a result of a high wind dust event:

Dysart (04-013-4010)

- 40 CFR Section 50.14(c)(2)(i)(A) – Regular communication with the EPA Regional office to identify data that have been potentially influenced by an exceptional event, to determine



whether the identified data may affect a regulatory determination and to discuss whether the State should develop and submit an exceptional events demonstration:

ADEQ began initial discussions with EPA about this event on May 18, 2017. ADEQ submitted formal initial notification of the May 17, 2016 high wind dust event to EPA Region IX on at that time.

- 40 CFR Section 50.14(c)(2)(i)(B) – For data that may affect an anticipated regulatory determination or where circumstances otherwise compel EPA to prioritize the resulting demonstration, EPA shall respond to the State’s initial notification with a demonstration due date:

EPA did not provide a due date for this demonstration.

- 40 CFR Section 50.14(c)(2)(i)(C) – EPA may waive the initial notification of potential exceptional event process on a case-by-case basis:

EPA did not waive the initial notification of potential exceptional event process.

- 40 CFR Section 50.14(c)(3)(v) – With submission of the demonstration containing the elements in 40 CFR Section 50.14(c)(3)(iv), the State must document that a public comment process was followed, submit any public comments received, and address in the submission to EPA those comments disputing or contradicting factual evidence provided in the demonstration:

ADEQ will post this assessment report on the ADEQ webpage and placed a hardcopy of the report in the ADEQ Records Management Center for public review. The 30-day public comment period is to TBD. A copy of the public notice certification, along with any comments received and responses to those comments, will be submitted to EPA, consistent with the requirements of 40 CFR Section 50.14(c)(3)(v).

## **Mitigation Requirements**

Per the requirements of 40 CFR Section 51.930(b)(1)(B)(ii), EPA provided written notification in the Federal Register notice for the EPA final rule, *Treatment of Data Influenced by Exceptional Events* (81 FR 68216), that the Maricopa County PM<sub>10</sub> nonattainment area is required to develop a mitigation plan for high wind dust events that satisfy the requirements of 40 CFR Section 51.930(b)(2). A high wind dust event mitigation plan for the Maricopa County PM<sub>10</sub> nonattainment area is required to be submitted to EPA by September 30, 2018. State and local agencies are in the process of developing the mitigation plan. The documentation for the May 17, 2016 high wind dust event is being submitted to EPA before a mitigation plan for the Maricopa County PM<sub>10</sub> nonattainment area is in place as allowed under 40 CFR Section 50.14(b)(9)(ii)(B).

## II. CONCEPTUAL MODEL

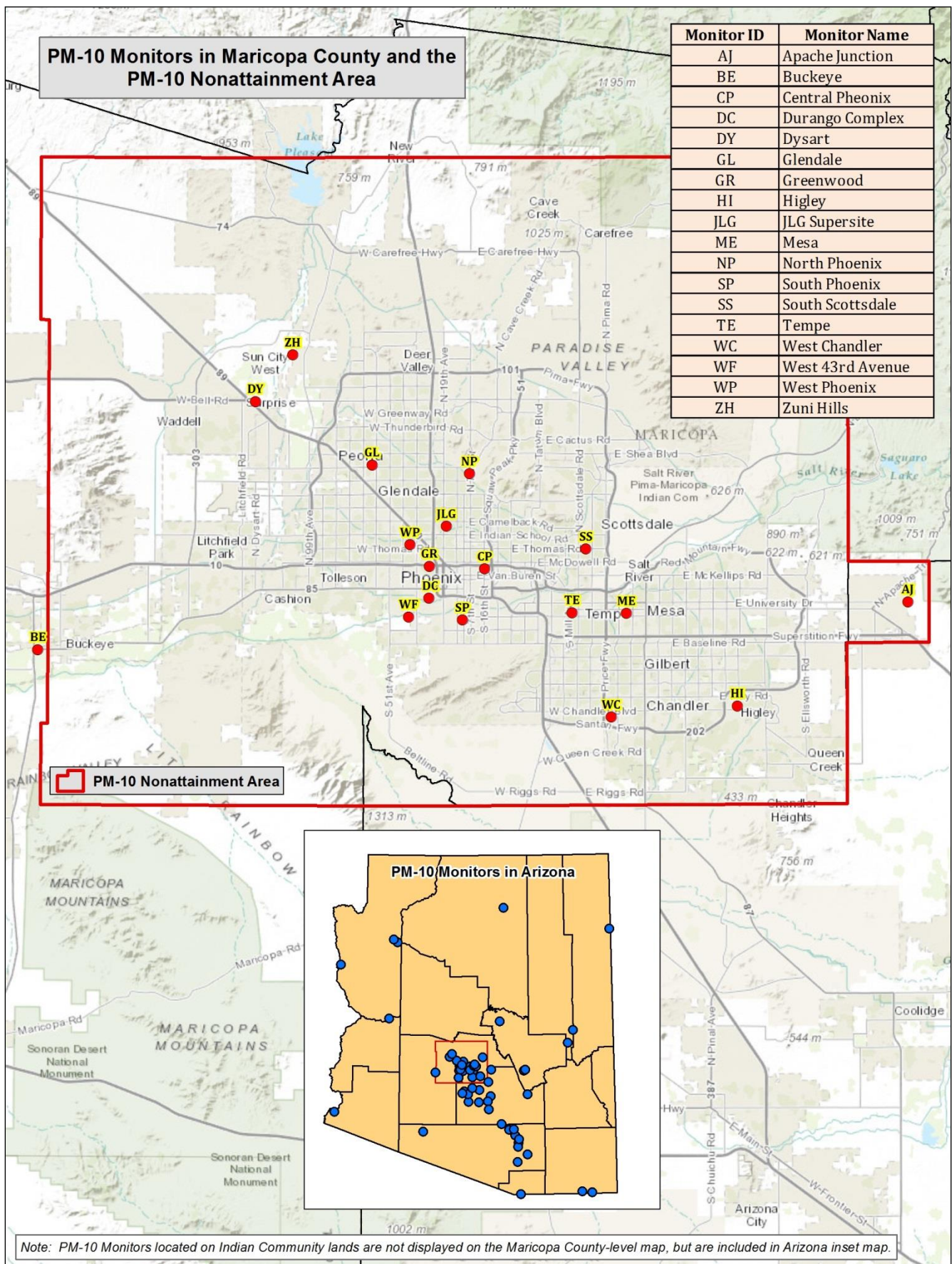
### Geographic Setting and Climate

#### *Geographic Setting*

The Maricopa County PM<sub>10</sub> nonattainment area is located in the Salt River Valley in south-central Arizona. It lies at a mean elevation of 1,090 feet above mean sea level (msl) in the northeastern part of the Sonoran Desert. Other than the mountains in and around the area, the topography of the area is generally flat. The area is surrounded by the McDowell Mountains (~4,200 ft msl) to the northeast, the foothills of the Bradshaw (~7,900 ft msl) and Mazatzal (~7,900 ft msl) ranges to the north, the White Tank Mountains (~4,500 ft msl) to the west, the Sierra Estrella (~4,450 ft msl) to the southwest, and the Superstition Mountains (~5,000 ft msl) far to the east. Within the area are the Phoenix Mountains (~2,600 ft msl) and South Mountain (~2,600 ft msl). Current development is pushing north, west, and south into Pinal County.

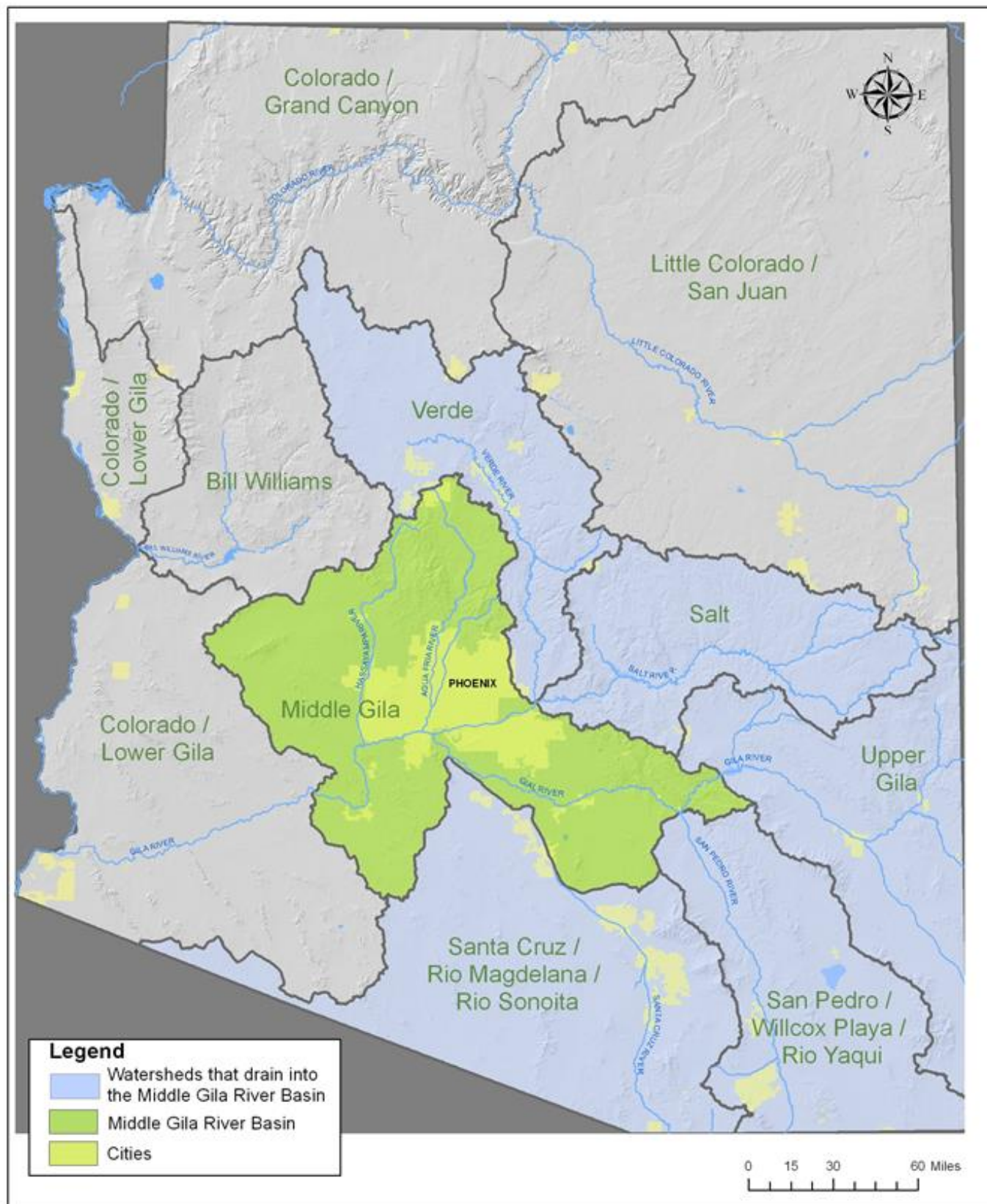
The PM<sub>10</sub> nonattainment area contains a fairly dense network of PM<sub>10</sub> monitors throughout the area, with a much less dense network of monitors located throughout the rest of the state. Figure 2–1 shows the general geographic setting of the nonattainment area, as well as the locations of PM<sub>10</sub> monitors in the nonattainment area and throughout the state.

Figure 2–2 depicts the drainage systems or watersheds for the State of Arizona. Many of the rivers that form Arizona's drainage system are dry for most of the year and, consequently, are sources of silt and fine soils that become suspended and add to regional PM<sub>10</sub> loadings during high wind events. Much of this alluvial matter and fine soil is deposited in the low lying areas of central and southern Arizona, with larger depositional areas focused in and around the confluences of dry river channels.



**Figure 2-1.** Maricopa County PM<sub>10</sub> nonattainment area geographic setting and PM<sub>10</sub> monitor locations.



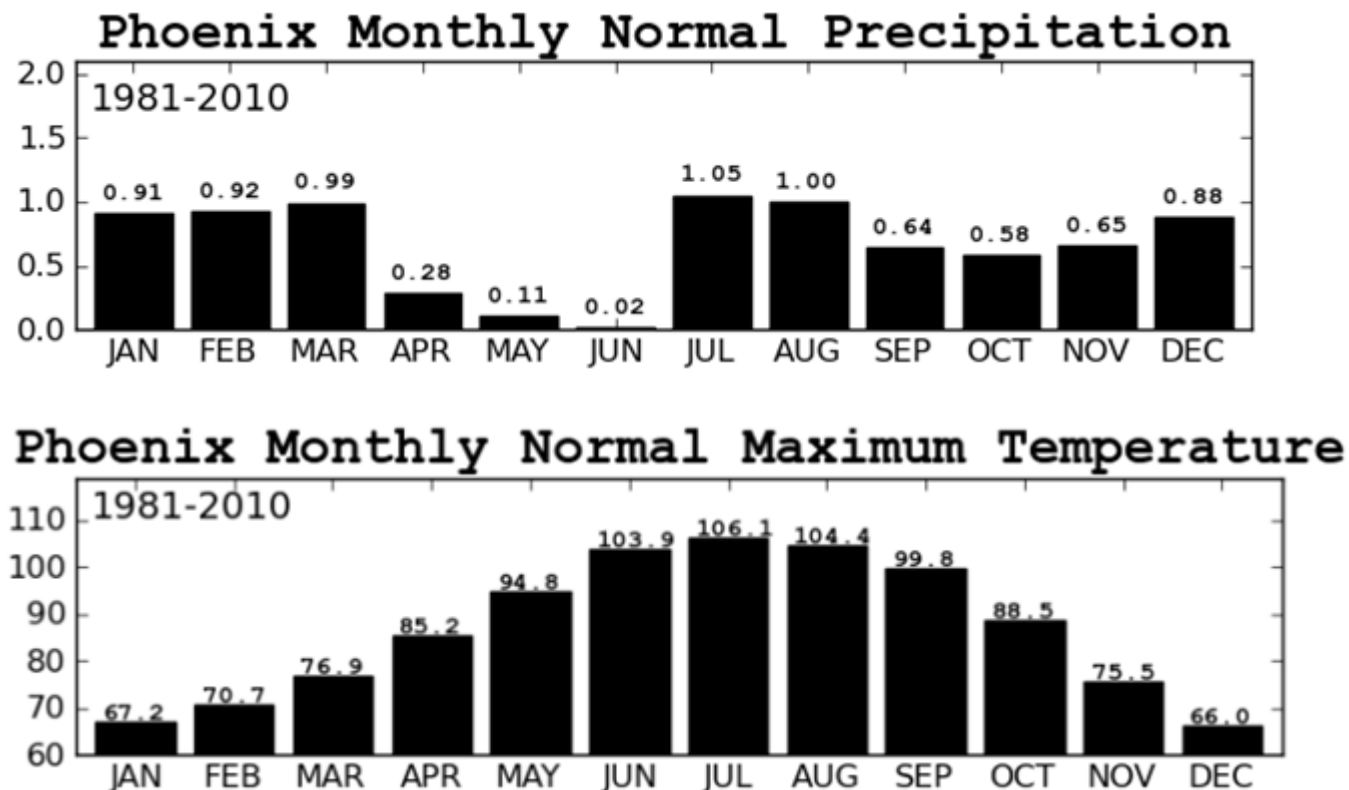


**Figure 2-2.** Drainage basins of the State of Arizona.

## Climate

The Maricopa County PM<sub>10</sub> nonattainment area has an arid climate, with very hot summers and temperate winters. The average summer high temperature is among the hottest of any populated area in the United States. The temperature reaches or exceeds 100°F an average of 110 days during the year and highs top 110°F an average of 18 days during the year. The area receives an average of 7.66 inches of rain per year.

Precipitation is sparse during the first part of the summer, but the influx of monsoonal moisture, which generally begins in early July and lasts until mid-September, raises humidity levels and can cause heavy localized precipitation and flooding. Although thunderstorms are possible at any time of the year, they are most common during the monsoon season from July to mid-September as humid air is advected from the Gulf of California, Gulf of Mexico, and large thunderstorm complexes from the Sierra Madre Occidental Mountains in Mexico. This influx in moisture, combined with intense solar heating, often creates a very unstable environment that is ripe for thunderstorm development. These thunderstorms can bring strong winds and blowing dust, large hail, and heavy rain. Dust storms associated with these thunderstorms typically occur in the early part of the monsoon season (July) before soaking rains help keep soil particles bound to one another. However, depending on the amount of precipitation received during the monsoon season, extremely hot temperatures act to dry out the surface quickly, and dust storms can occur at any time. During the December through March period, winter storms moving inland from the Pacific Ocean can bring strong winds, blowing dust and significant rains throughout Arizona. This December – March time period, and July – August time period are typically the wettest parts of the year. Meanwhile, a distinct dry season occurs during the period April through June for the nonattainment area and the rest of Arizona. While these weather patterns describe the general climatology for the nonattainment area over a long period of time, the area and the entire state of Arizona is also prone to a high degree of variability in these weather patterns from year to year.



**Figure 2-3** Phoenix monthly precipitation (top) and maximum temperature (bottom) climatology (source: National Weather Service).

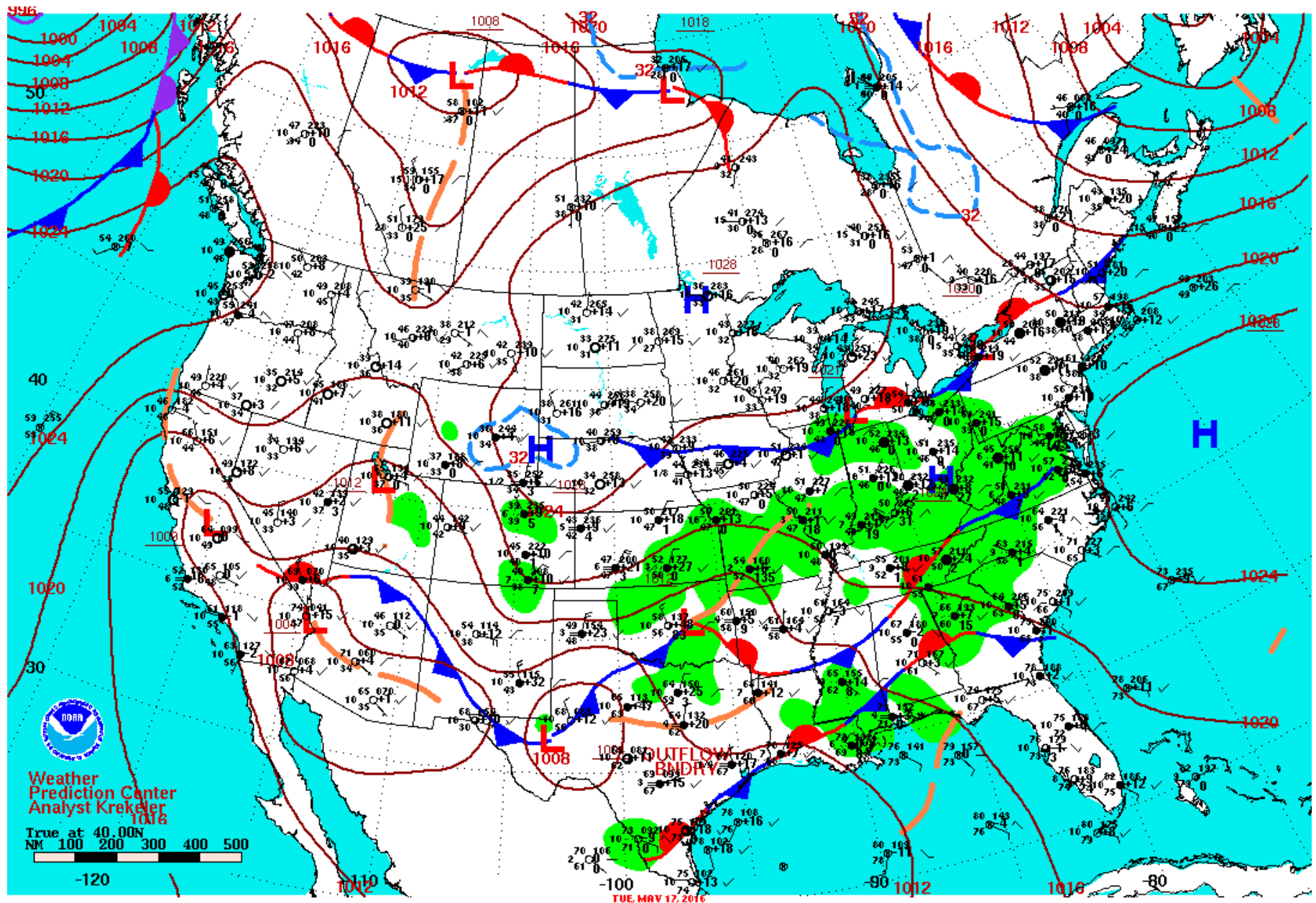
## **Low Pressure System High Wind Dust Event Summary**

According to the National Weather Service (NWS), a large low pressure system moved through Arizona generating thunderstorm outflows into the Maricopa County PM<sub>10</sub> nonattainment area on the evening of May 17, 2016. Outflows from thunderstorms that originated in La Paz County (adjacent to the northern portion of Maricopa County's western border) moved southeast into the nonattainment area, bringing strong winds with gusts up to 50 mph and transporting windblown dust (See Appendix B). The NWS issued a blowing dust advisory at 5:50 PM for the western and northwestern portions of the greater Phoenix area as a result of the passing thunderstorm outflows. The advisory predicted sustained winds of 20 to 30 mph with gusts of 45 mph, and localized visibilities falling below 1 mile. This blowing dust moved quickly through the nonattainment area on the thunderstorm outflows, raising PM<sub>10</sub> concentrations at monitors in the nonattainment area and southward into Pinal County. Figure 2–4 displays the approaching low pressure system into Arizona on May 17, 2016. Upper-air wind fields associated with the passing of the low pressure system are displayed in Figure 2–5.

The PM<sub>10</sub> concentrations from the outflow-generated windblown dust were densest at the exceeding Dysart monitor (located in the northwestern portion of the nonattainment area), peaking during the 6:00 PM to 7:00 PM time frame with remarkably elevated five-minute concentrations as high as 4,999 µg/m<sup>3</sup>. The nearby Zuni Hills monitor recorded five-minute concentrations as high as 3,203 µg/m<sup>3</sup> during this period as well. Concentrations at the affected nonattainment area monitors quickly returned to normal after the thunderstorm outflow passed over the monitors. The thunderstorm outflows generated sustained winds above 30 mph as recorded at NWS stations and Maricopa County Air Quality Department monitors in the nonattainment area. Accompanying gusts generally ranged from 35 to 45 mph. Winds of these magnitudes are sufficient to generate windblown dust from natural, undisturbed desert surfaces as well as overwhelm reasonable controls on anthropogenic sources of windblown dust. Visibilities as low as 0.0 miles (Luke Air Force Base) were recorded at NWS stations in the nonattainment area during peak PM<sub>10</sub> concentration periods. Visibility photos show the dense nature of the windblown dust, especially during the 6:00 PM time frame. While only one PM<sub>10</sub> monitor (Dysart) within the nonattainment area exceeded as a result of the windblown dust generated by the thunderstorm outflow, 24-hour average PM<sub>10</sub> concentrations on May 17, 2016 were elevated at all monitors throughout the nonattainment area were the thunderstorm outflow passed over or by. While it is possible that local anthropogenic sources of windblown dust (in concert with the thunderstorm outflow generated windblown dust) may have contributed to the exceedance at the Dysart monitor, sustained wind speeds recorded in the nonattainment area and at the exceeding Dysart monitor were above 25 mph for multiple periods, sufficient to overwhelm any reasonable controls that may have been in place on anthropogenic sources of windblown dust in the nonattainment area and near the exceeding monitor.

As seen in Figure 2–6, moderate drought conditions throughout southern Arizona likely exacerbated the amount of dust the thunderstorm outflow was able to entrain. Some limited precipitation associated with the thunderstorm outflow was recorded at PM<sub>10</sub> nonattainment area NWS stations after the dust storm had passed through the nonattainment area.

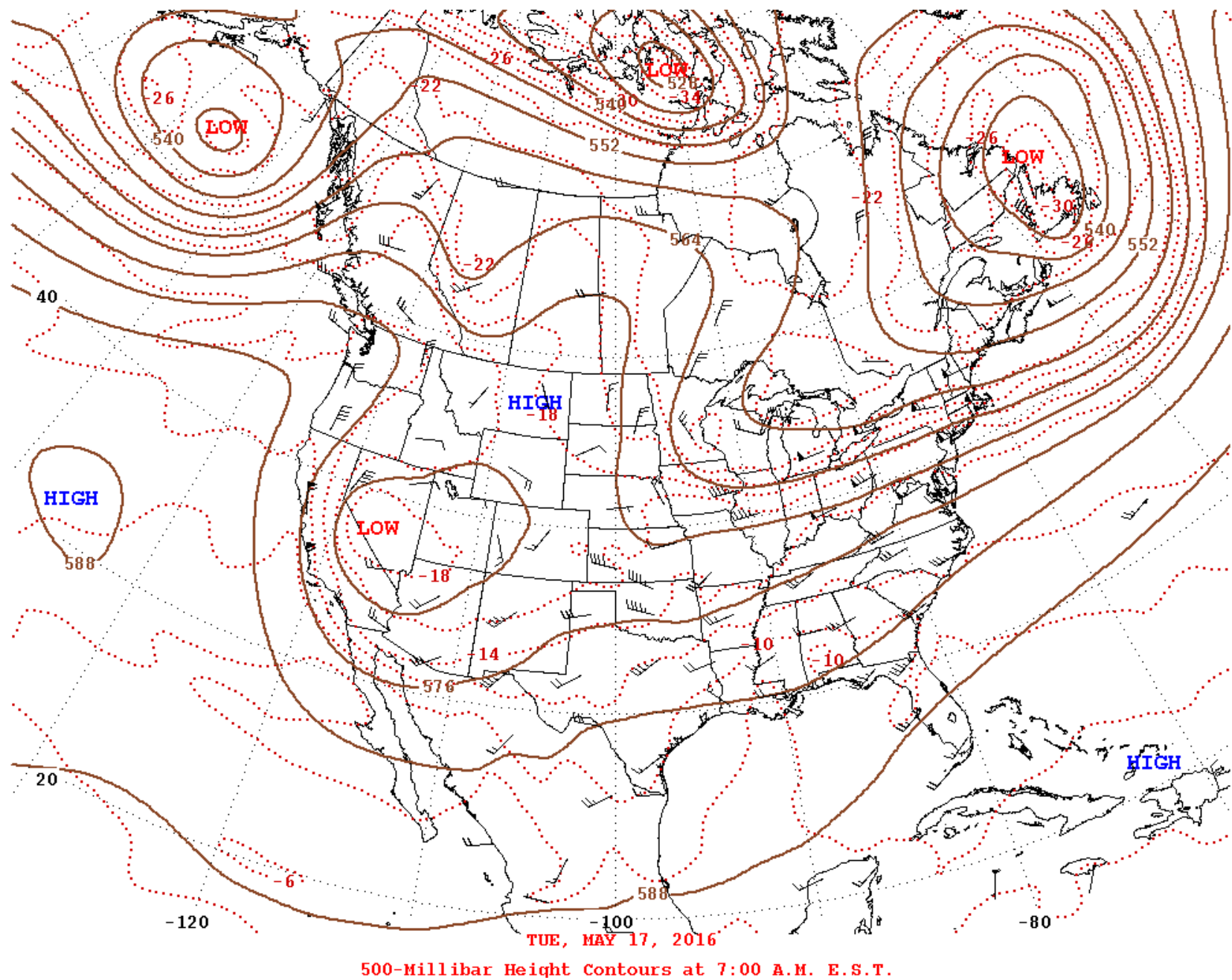
As a summary of the PM<sub>10</sub> concentrations during the event, Table 2–1 contains PM<sub>10</sub> concentration data at Maricopa County and nonattainment area monitors from May 10 – May 24, 2016, indicating the high levels of PM<sub>10</sub> seen on May 17, 2016 as compared to the prior and following week. Figure 2–7 displays those same 24-hour average PM<sub>10</sub> concentrations while Figure 2–8 contains the diurnal pattern of PM<sub>10</sub> at the Maricopa County and PM<sub>10</sub> nonattainment area monitors on May 17, 2016. Lastly, Figure 2–9 displays hourly average PM<sub>10</sub> concentrations, maximum hourly 5-minute wind speeds, and maximum hourly gusts as recorded at the exceeding Dysart monitor.



Surface Weather Map and Station Weather at 7:00 A.M. E.S.T.

Figure 2-4. Location of low pressure system as of 4:00 AM Arizona time on May 17, 2016 (NOAA Daily Weather Map).



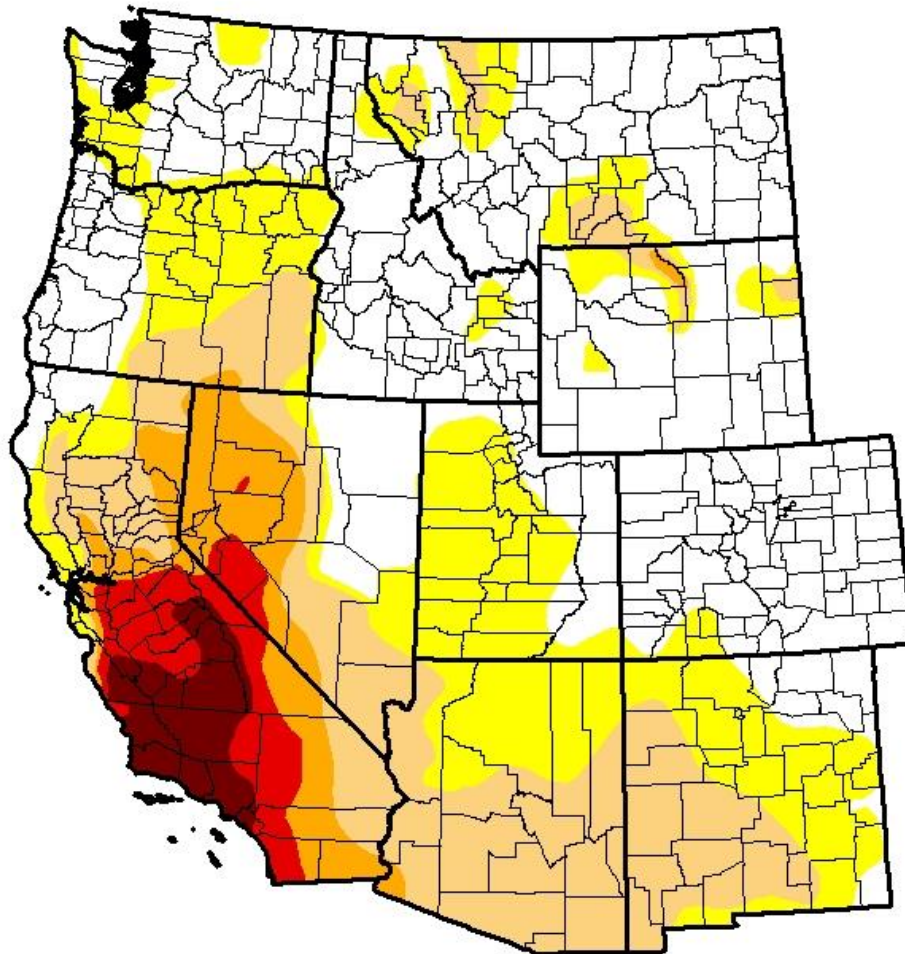


**Figure 2-5.** 500-Millibar wind field at 4:00 AM Arizona time on May 17, 2016. (NOAA Daily Weather Map).



# U.S. Drought Monitor West

**May 17, 2016**  
(Released Thursday, May. 19, 2016)  
Valid 8 a.m. EDT



*Drought Conditions (Percent Area)*

	None	D0-D4	D1-D4	D2-D4	D3-D4	D4
<b>Current</b>	45.83	54.17	31.19	12.13	6.23	2.81
<b>Last Week</b> 5/10/2016	46.20	53.80	32.73	13.41	8.55	2.81
<b>3 Months Ago</b> 2/16/2016	38.68	61.32	36.57	19.60	10.35	5.55
<b>Start of Calendar Year</b> 12/29/2015	33.17	66.83	45.07	29.30	15.92	6.85
<b>Start of Water Year</b> 9/29/2015	22.77	77.23	57.81	42.42	26.50	7.62
<b>One Year Ago</b> 5/19/2015	23.49	76.51	60.69	36.57	17.59	7.95

## Intensity:

D0 Abnormally Dry	D3 Extreme Drought
D1 Moderate Drought	D4 Exceptional Drought
D2 Severe Drought	

*The Drought Monitor focuses on broad-scale conditions. Local conditions may vary. See accompanying text summary for forecast statements.*

## **Author:**

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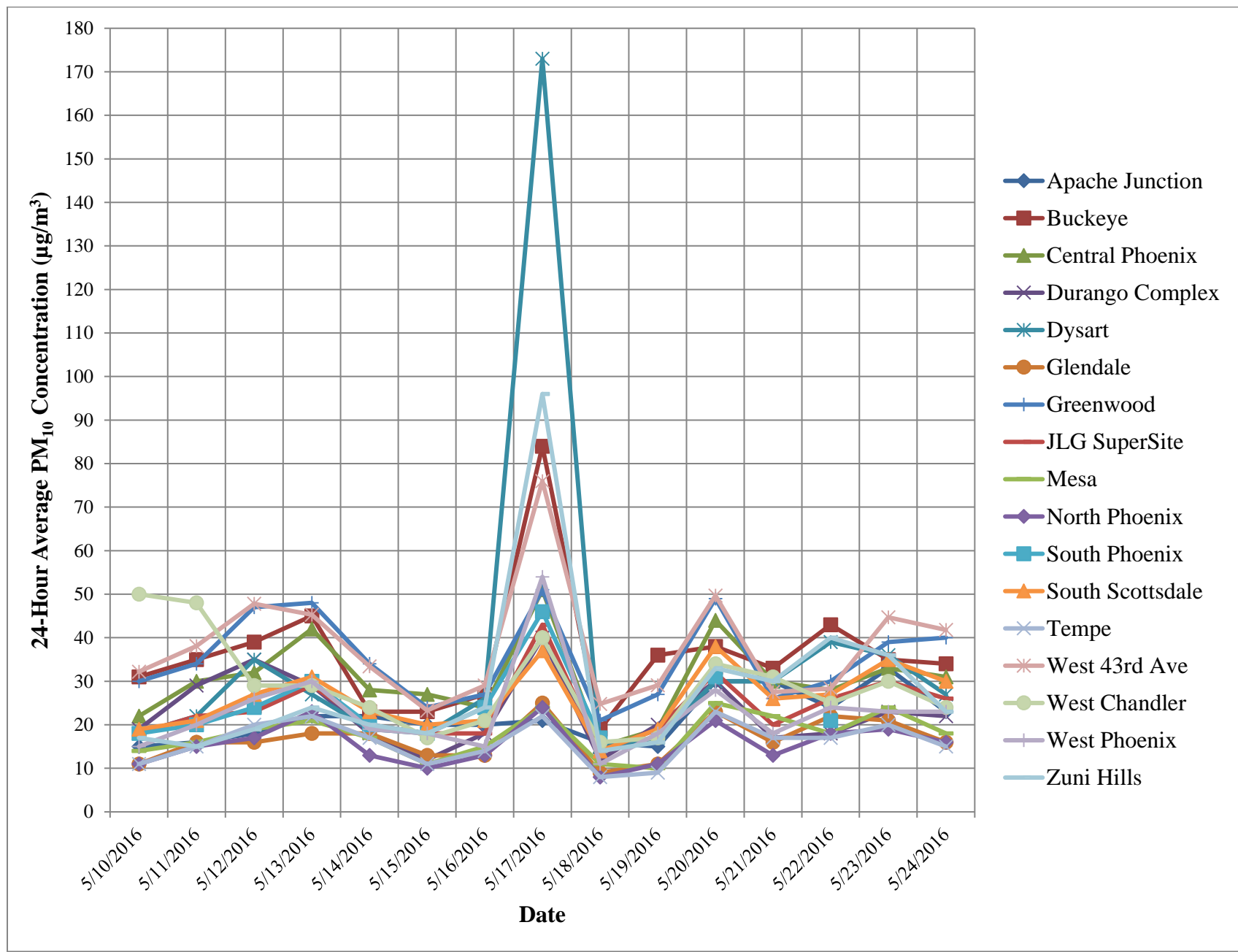


<http://droughtmonitor.unl.edu/>

**Figure 2-6.** Western states drought monitor as of May 17, 2016.

**Table 2-1.** 24-Hour Average PM<sub>10</sub> Concentrations (µg/m<sup>3</sup>) at Maricopa County and PM<sub>10</sub> Nonattainment Area Monitors on May 10-May 24, 2016.

Monitor	May 10	May 11	May 12	May 13	May 14	May 15	May 16	May 17	May 18	May 19	May 20	May 21	May 22	May 23	May 24
Apache Junction	15	16	18	22	22	20	20	21	16	15	30	30	24	33	23
Buckeye	31	35	39	45	23	23	27	84	19	36	38	33	43	35	34
Central Phoenix	22	30	32	42	28	27	24	51	15	19	44	30	28	33	31
Durango Complex	19	29	35	29	18	12	18	40	12	20	30	17	18	23	22
Dysart	18	22	35	27	19	18	26	173	15	17	30	30	39	36	27
Glendale	11	16	16	18	18	13	13	25	9	11	23	16	22	21	16
Greenwood	30	34	47	48	34	24	27	51	21	27	49	26	30	39	40
JLG Supersite	18	22	23	29	20	18	18	43	14	19	32	20	26	30	26
Mesa	14	16	19	21	17	11	15	24	11	10	25	22	18	24	18
North Phoenix	11	15	17	23	13	10	13	24	8	11	21	13	18	19	16
South Phoenix	18	20	24	30	20	18	24	46	17		31		21		
South Scottsdale	19	21	27	31	23	20	21	37	14	19	38	26	27	35	30
Tempe	11	15	20	22	17	11	14	22	8	9	23	17	17	20	15
West 43rd Avenue	32	38	48	45	33	23	29	76	25	29	50	28	28	45	42
West Chandler	50	48	29	29	24	17	21	40	16	17	34	31	25	30	24
West Phoenix	15	20	26	30	19	18	15	54	11	18	28	18	24	23	23
Zuni Hills	17	15	19	24	20	18	24	96	14	16	33	30	40	36	23



**Figure 2-7.** 24-hour average PM<sub>10</sub> concentrations (µg/m³) at Maricopa County and nonattainment area monitors on May 10-May 24, 2016.

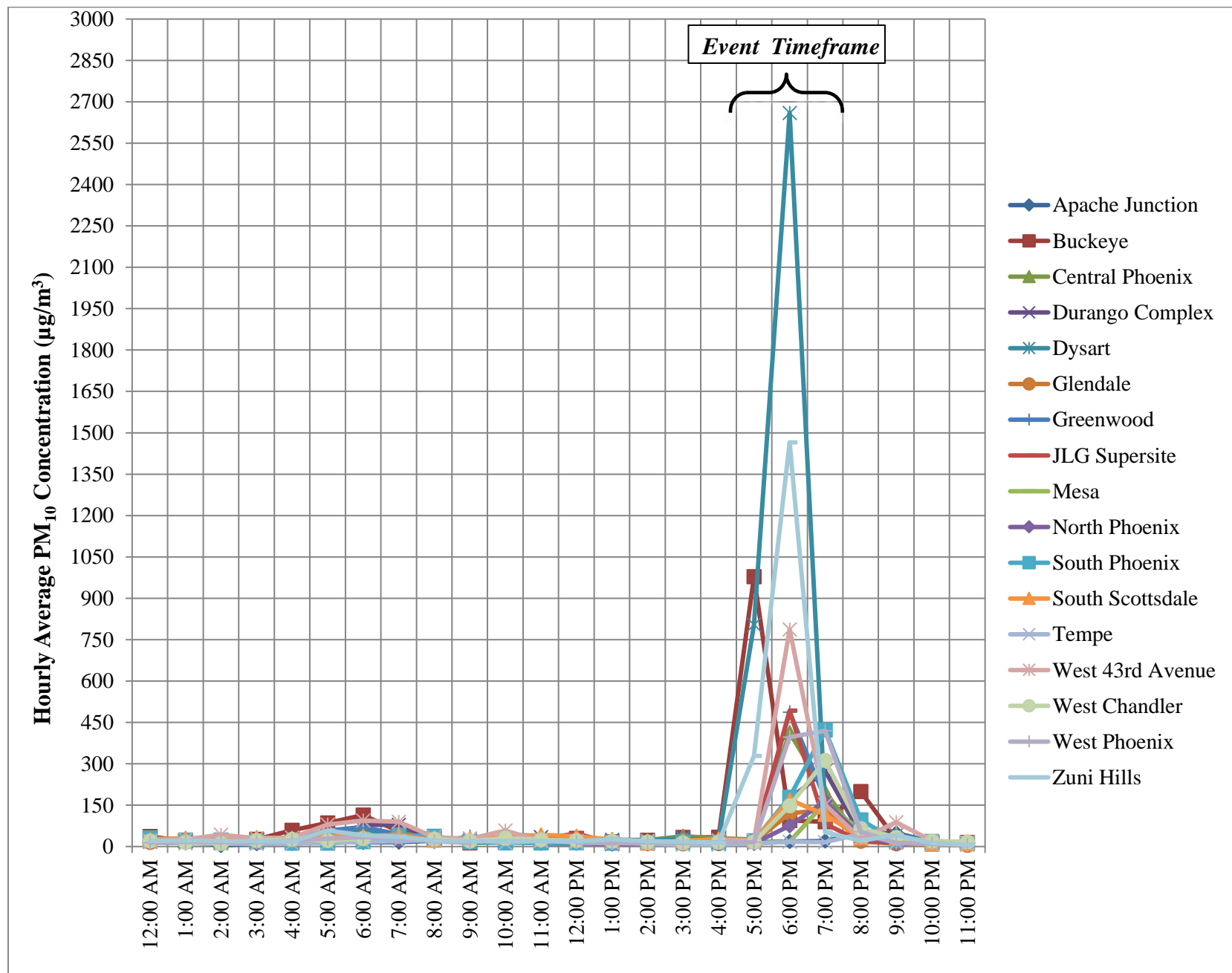
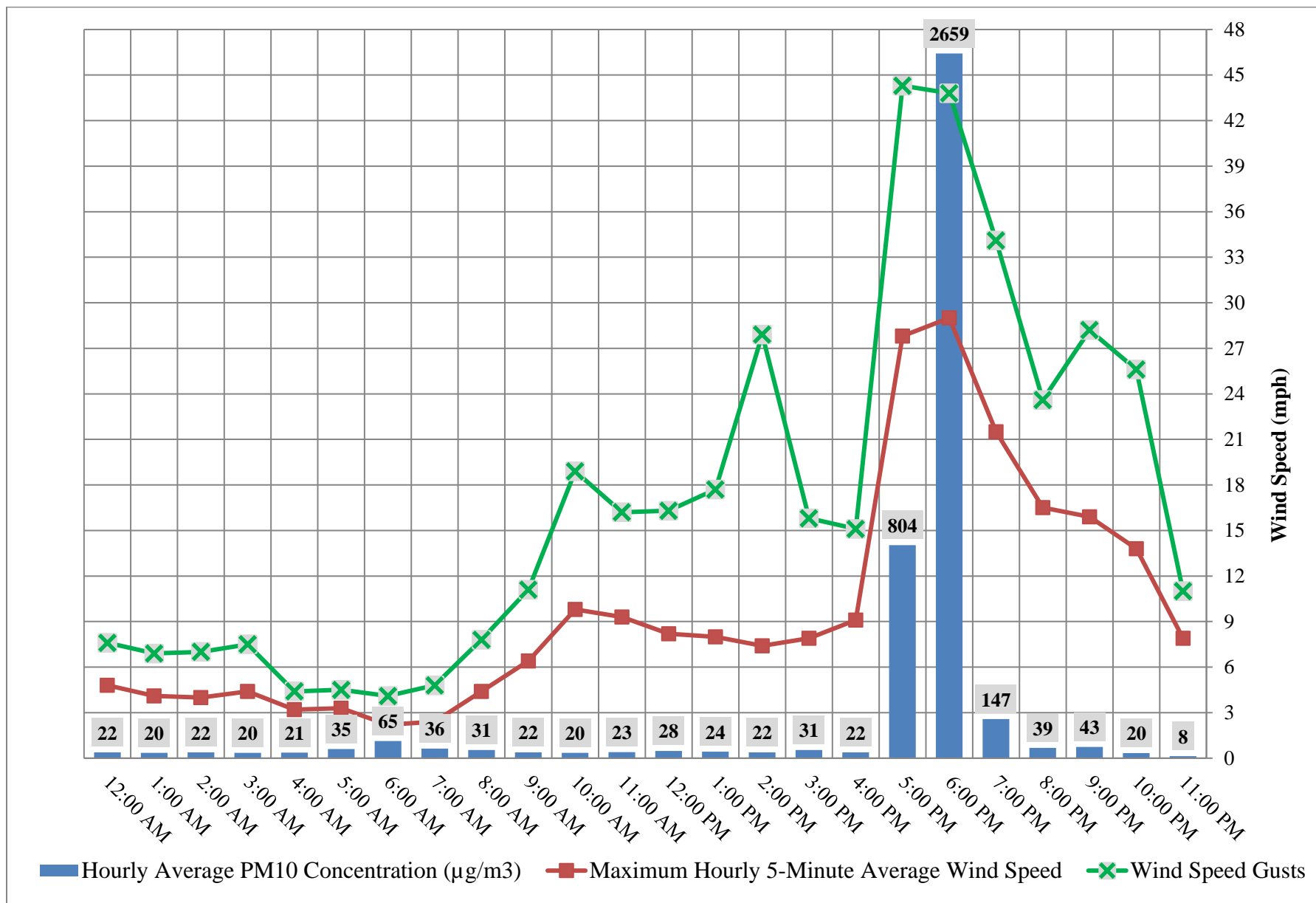


Figure 2-8. Diurnal profile of monitors on May 17, 2016.



**Figure 2-9.** Hourly average PM<sub>10</sub> concentrations, maximum hourly 5-minute average wind speeds, and maximum hourly gusts as recorded at the exceeding Dysart monitor.

### III. CLEAR CAUSAL RELATIONSHIP

#### Introduction

One of the core statutory elements that must be addressed to exclude a monitored exceedance or violation caused by an exceptional event is a demonstration that the exceptional event “affected air quality in such a way that there exists a clear causal relationship between the event and the monitored exceedance or violation.” The requirement to include this demonstration is codified in 40 CFR Section 50.14(c)(3)(iv)(B). To support the clear causal relationship requirements in 40 CFR Section 50.14(c)(3)(iv)(B), analyses comparing the claimed event-influenced concentration to concentrations at the same monitoring site at other times are required as stated in 40 CFR Section 50.14(c)(3)(iv)(C).

Additionally, specific to high wind dust events, the preamble to the revised exceptional events rule states that “EPA expects air agencies to provide relevant wind data...showing how the observed sustained wind speed compares to the established high wind threshold and demonstrates a relationship between the sustained wind speeds and measured PM concentrations at a particular monitoring location”. Demonstrations covering all of the required elements of a clear causal relationship are presented in the sections below.

#### Comparison of High Wind Dust Event Concentrations with Historical Concentrations

In Table 2 of the preamble to the revised exceptional events rule, EPA includes as guidance seven categories of “historical concentration evidence” that should be addressed in order to meet the requirement in 40 CFR Section 50.14(c)(3)(iv)(C) to provide analyses comparing the claimed event-influenced concentration to concentrations at the same monitoring site at other times. The seven categories listed by EPA and where they are addressed in this documentation are listed below:

1. Compare the concentrations on the claimed event day with past historical data (included in Figure 3–1).
2. Demonstrate spatial and/or temporal variability of the pollutant of interest in the area (included in Figures 3–3 through 3–16 and Figure 2-7).
3. Determine percentile ranking: 99th percentile (based upon five years of data, May 17, 2011 – May 17, 2016).
4. Plot annual time series to show the range of “normal” values (included in Figure 3–1).
5. Identify all “high” values in all plots (included in Figure 3–1).
6. Identify historical trends (optional, included in Figure 3–1).
7. Identify diurnal or seasonal patterns (included in Figures 3–1 and 3–2).

The bulk of the seven categories listed above are addressed in Figure 3–1. Figure 3–1 includes all 24-hour average PM<sub>10</sub> concentrations at the exceeding Dysart monitor from January 1, 2011 through September 30, 2016. This period includes the most recent five calendar years of concentration data at the exceeding monitoring site, as recommended by EPA in the preamble to the revised exceptional events rule. Within the time period presented, Figure 3–1 identifies all days that have been flagged as high wind dust events (including the concurrence status of those days by EPA) and all exceedance days.

All exceedances in Figure 3–1 have been identified as high wind dust events. Figure 3–1 generally indicates that high wind dust events normally occur in spring and summer (when dry cold fronts and the

summer monsoon season are most active), but may occur at any time. The high wind dust events are relatively rare occurring on 8 days out of 2100, or 0.4% of the time. The specific percentile ranking of this high wind dust event 24-hour average PM<sub>10</sub> concentration is in the 99th percentile, based upon five years of data (May 17, 2011 – May 17, 2016).

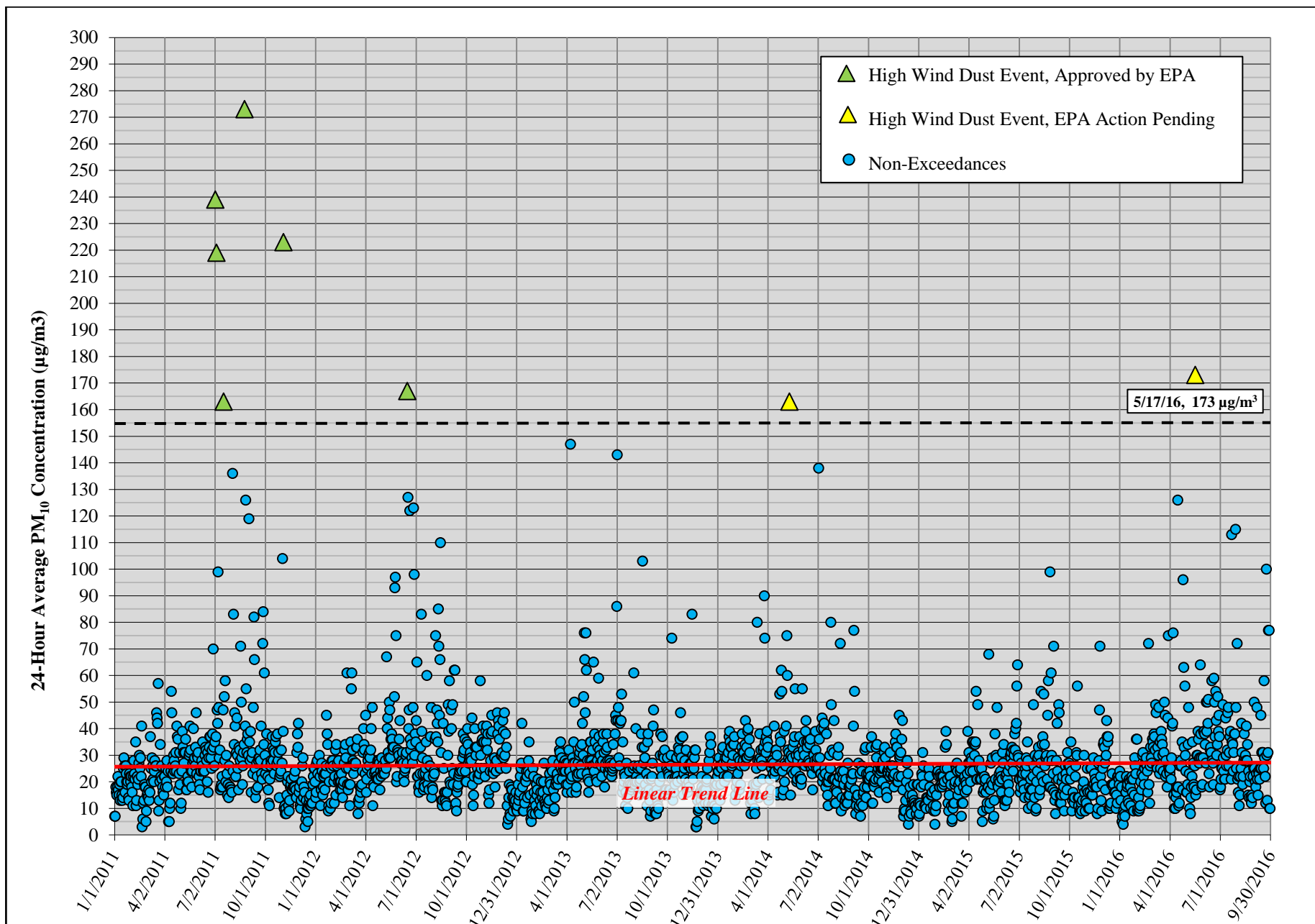
While not specifically indicated in Figure 3–1, it is important to note that some of the other high, but not exceeding PM<sub>10</sub> concentrations (75-150 µg/m<sup>3</sup>) at the Dysart monitor, occurred on days when high wind dust events nearly caused an exceedance, or on days when high wind dust events caused exceedances at other monitors in the Maricopa County PM<sub>10</sub> nonattainment area. Because of the vast size of the nonattainment area, it is rare that a high wind dust event will cause all monitors within the nonattainment area to exceed the PM<sub>10</sub> standard. As seen in this high wind dust event, PM<sub>10</sub> concentrations were elevated at all nonattainment area monitors within the path of the thunderstorm outflow, particularly at the western nonattainment area monitors (e.g., Zuni Hills monitor at 96 µg/m<sup>3</sup>), but only the Dysart monitor exceeded on May 17, 2016.

Figure 3–1 also includes a linear trend line of the 24-hour average PM<sub>10</sub> concentration data at the Dysart monitor. This trend line is generally flat based upon data from January 1, 2011 to September 30, 2016. While the trend line represents an average of concentration data that can vary significantly from day to day, the trend line does indicate that overall PM<sub>10</sub> concentrations at the Dysart monitor have been steady through time, despite an increase in population, employment and vehicle traffic throughout the nonattainment area. This is not unexpected given that the Dysart monitor is located in a residential setting near the western edge of the nonattainment area, where PM<sub>10</sub> concentrations are generally low and well controlled. This location is also near natural, undeveloped desert areas, making it susceptible to windblown dust that originates in the desert areas west of the nonattainment area.

As can be seen in Figure 3–1, there is not a distinct seasonal pattern for PM<sub>10</sub>, but rather concentrations can vary daily in all seasons. In general terms, wintertime inversion conditions can elevate PM<sub>10</sub> on stagnant days in the winter months, and elevated winds particularly during the monsoon season produce the highest overall PM<sub>10</sub> concentrations. However, these meteorological conditions are not constant enough to create a definite “season” when PM<sub>10</sub> is elevated or suppressed.

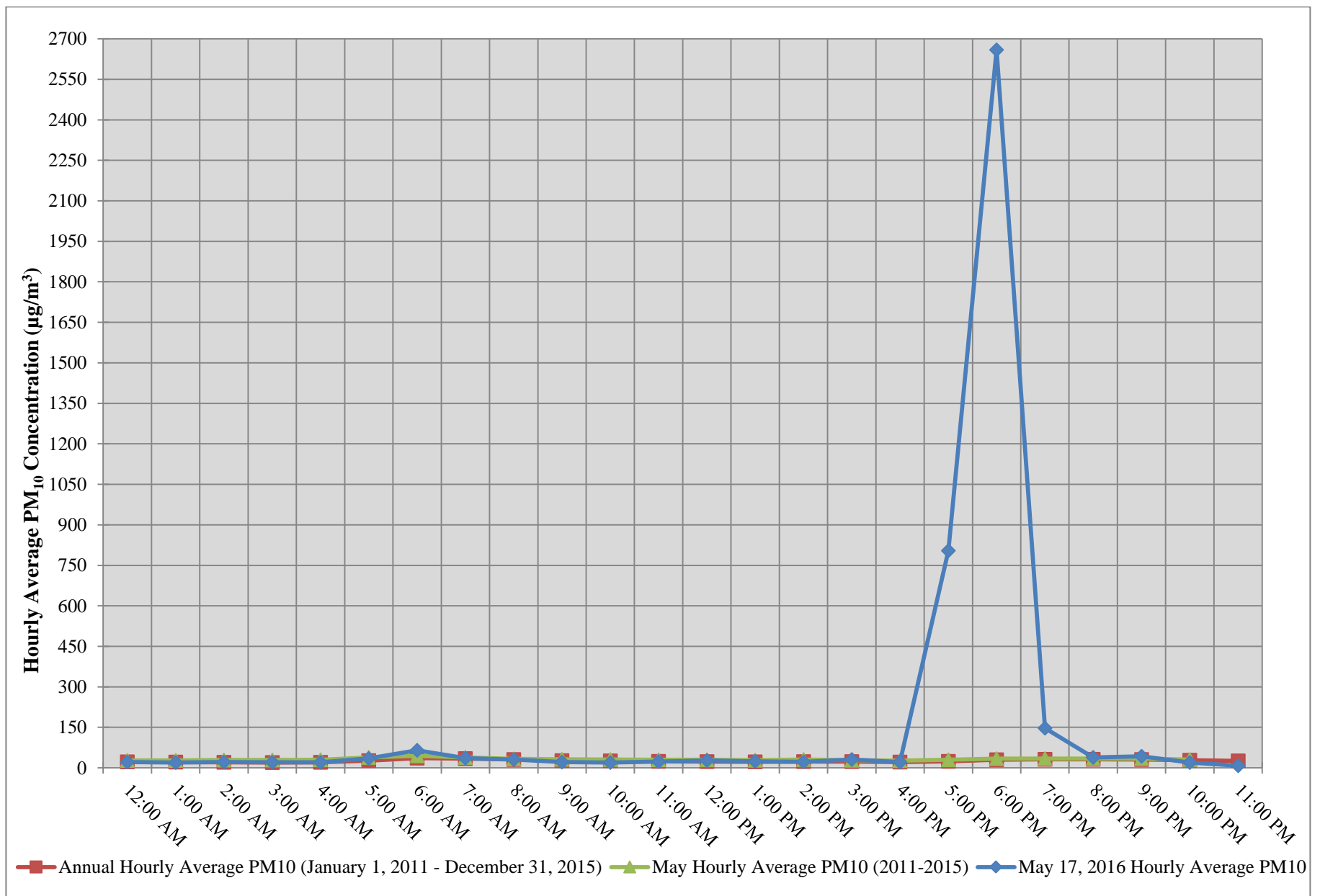
Figure 3–2 displays the average diurnal patterns of PM<sub>10</sub> as observed over 5 years from January 1, 2011 through December 31, 2015 at the Dysart monitor. The figure includes annual hourly average concentrations, average hourly concentrations in May (the month the event occurred), and the diurnal pattern observed on the event day (May 17, 2016). Hourly PM<sub>10</sub> concentrations that were flagged in AQS as being the result of an exceptional event have been removed from the annual and May averages. As can be seen in the Figure 3–2, there is little difference between the annual hourly averages and the hourly averages in the month of May over the 5 year period. Diurnal emissions on the high wind dust event day (May 17, 2016) were very similar to the annual and May averages, except during the hours when high winds were present (5pm to 7pm), providing evidence that no unusual anthropogenic activity was occurring around the exceeding Dysart monitor on the high wind dust event day (i.e., no elevated hourly PM<sub>10</sub> concentrations during non-windy conditions on the event day as compared to historical hourly averages).

In addition to the data presented in Figures 3–1 and 3–2, data in Figure 2–7 displays the 24-hour average PM<sub>10</sub> concentrations at all nonattainment area monitors a week before and after the high wind dust event on May 17, 2016. The figure indicates that PM<sub>10</sub> concentrations were relatively low throughout the nonattainment area both before and after the high wind dust event on May 17, 2016. The Dysart monitor experienced the highest increase in PM<sub>10</sub> concentrations on May 17, 2016 due to being located near the center of the windblown dust from the thunderstorm outflow.



**Figure 3-1.** Plot of 24-hour average PM<sub>10</sub> concentrations at the Dysart monitor, January 2011 – September 2016.





**Figure 3-2.** Plot of annual hourly average PM<sub>10</sub> concentrations (1/1/2011 – 12/31/2015), hourly average PM<sub>10</sub> concentrations in May (2011 – 2015), and diurnal PM<sub>10</sub> concentrations at the Dysart monitor on the May 17, 2016 high wind dust event day.

### **Chronological and Spatial Presentation of Wind, Visibility, and PM<sub>10</sub> Concentration Data During the High Wind Dust Event in the Maricopa County PM<sub>10</sub> Nonattainment Area**

In addition to the analyses focused on comparison of the high wind dust event PM<sub>10</sub> concentration to historical concentrations, Figure 3–3 through 3–15 display the chronological and spatial distribution of wind, visibility and PM<sub>10</sub> concentration data throughout the nonattainment area in mapped form. The figures establish a clear causal relationship between elevated PM<sub>10</sub> concentrations, elevated wind speeds and reduced visibility in the nonattainment area. The figures also establish the transport of PM<sub>10</sub> across the nonattainment area with the thunderstorm outflow winds.

PM<sub>10</sub> concentrations in the figures were highest at the exceeding Dysart monitor when wind speeds were also at their highest. In 40 CFR Section 50.14(b)(5)(iii), EPA establishes a default high wind threshold of a sustained wind of 25 mph, as the wind speed necessary to entrain significant amounts of dust from undisturbed, natural areas, as well as disturbed, anthropogenic source areas that are subject to reasonable controls. Sustained winds, as represented in the figures, were above 25 mph at multiple locations throughout the nonattainment and at the exceeding Dysart monitor, indicating that reasonable controls on anthropogenic sources of windblown dust were overwhelmed and that emissions of dust from natural desert areas would be expected. In summary, the figures make it clear that without the high wind dust event caused by the thunderstorm outflow, there would have been no exceedance at the Dysart monitor.

The data displayed in the following figures were gathered from five data sources. All available meteorological and air quality data were used in order to present the most complete story of the event. Table 3–1 displays the types of data used from each agency in creating the maps. Each map in the figures represents the chronological and spatial distribution of wind, visibility and PM<sub>10</sub> concentration in a 30-minute period. The figures start with the 4:30-5:00 PM period and end with the 10:30-11:00 PM period, covering the arrival and passing of the thunderstorm outflow generated windblown dust across the Maricopa County PM<sub>10</sub> nonattainment area.

**Table 3-1.** Data Sets Used in the Creation of Chronological and Spatial Maps.

<b>Agency</b>	<b>Data Sets</b>
Arizona Department of Environmental Quality (ADEQ)	Hourly PM <sub>10</sub> Concentrations, Wind Speed, Wind Direction and Wind Gusts
Arizona Meteorological Network (AZMET)	Hourly Wind Speed, Wind Direction and Wind Gusts
Maricopa County Air Quality Department (MCAQD)	5-Minute PM <sub>10</sub> Concentrations, 5-Minute Wind Speed and Wind Direction, and Maximum Hourly Wind Gusts
Pinal County Air Quality Control District (PCAQCD)	5-Minute and Hourly PM <sub>10</sub> Concentrations, 5-Minute and Hourly Wind Speed, Wind Direction and Wind Gusts
National Weather Service (NWS)	Point in Time Wind Speed, Wind Direction, Wind Gusts, and Visibility

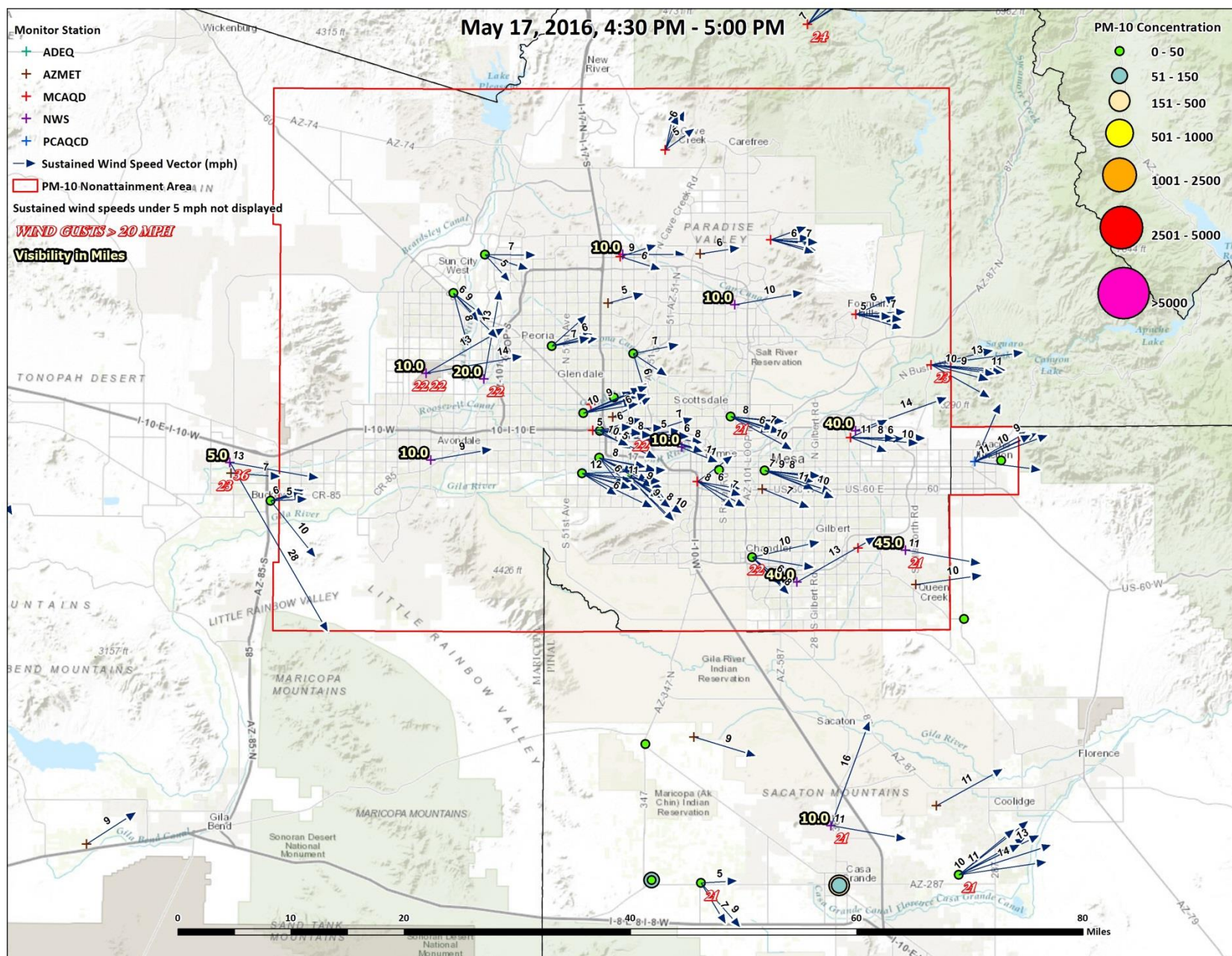


Figure 3-3. May 17, 2016, 4:30 PM – 5:00 PM.



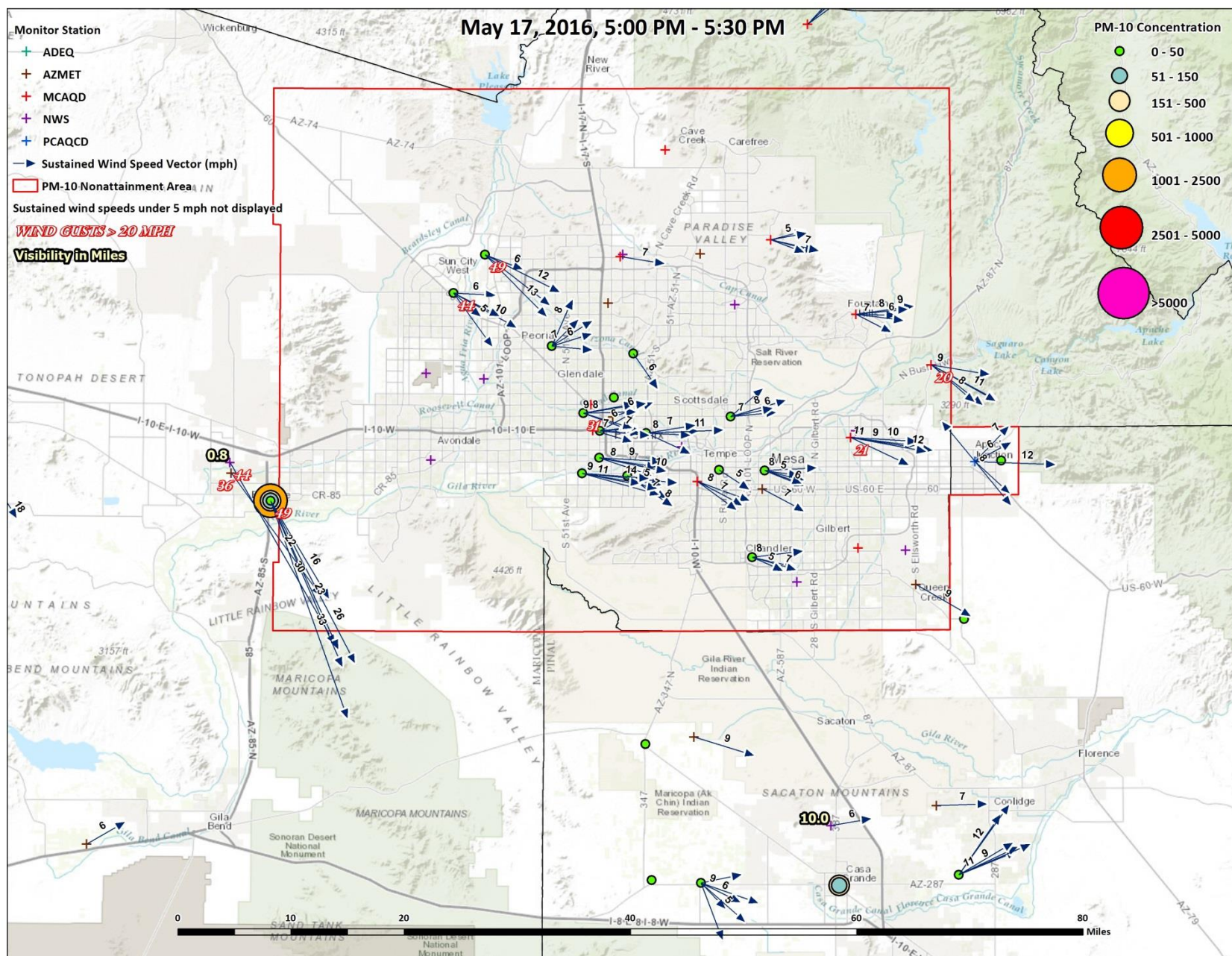


Figure 3-4. May 17, 2016, 5:00 PM – 5:30 PM.



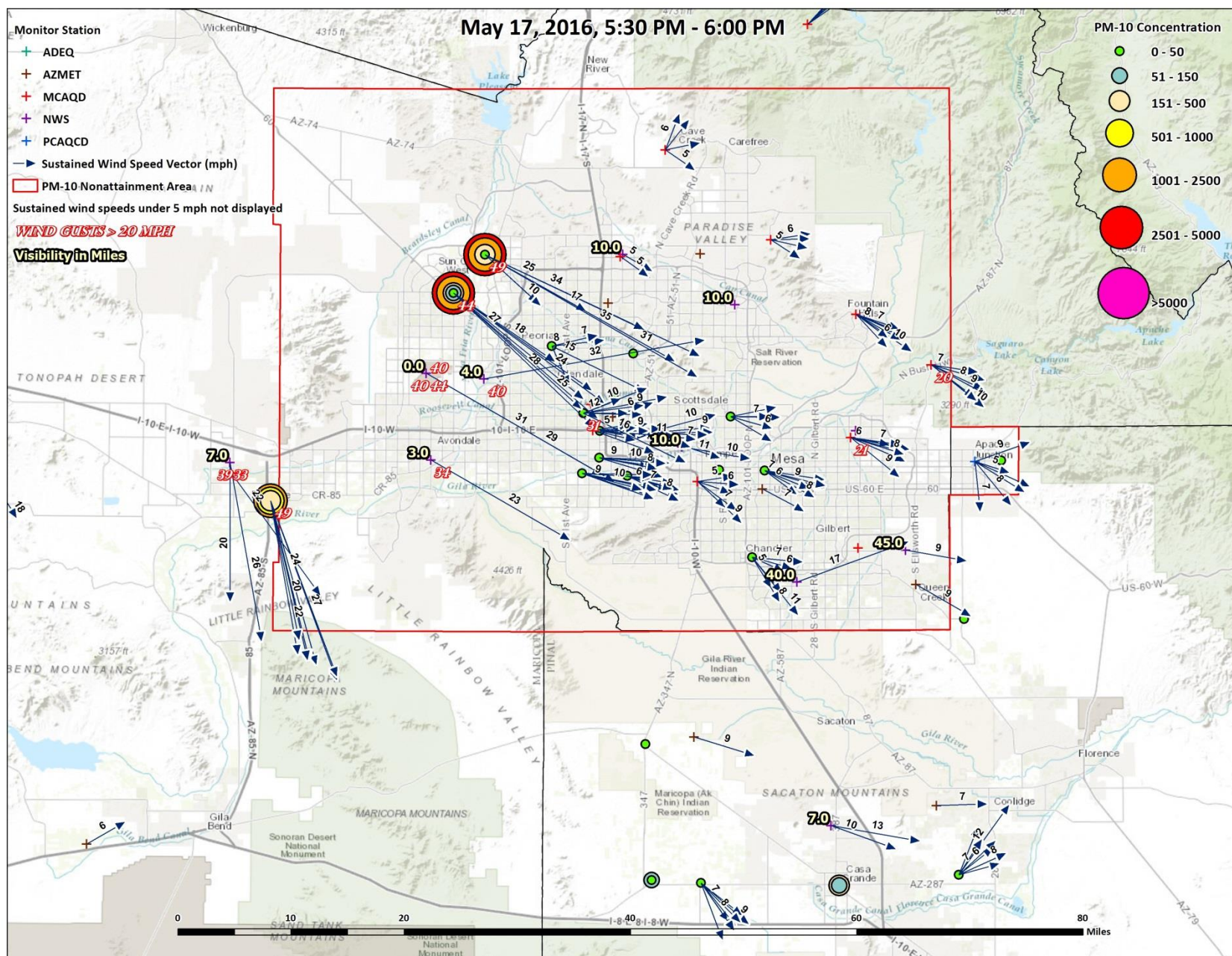


Figure 3-5. May 17, 2016, 5:30 PM – 6:00 PM.



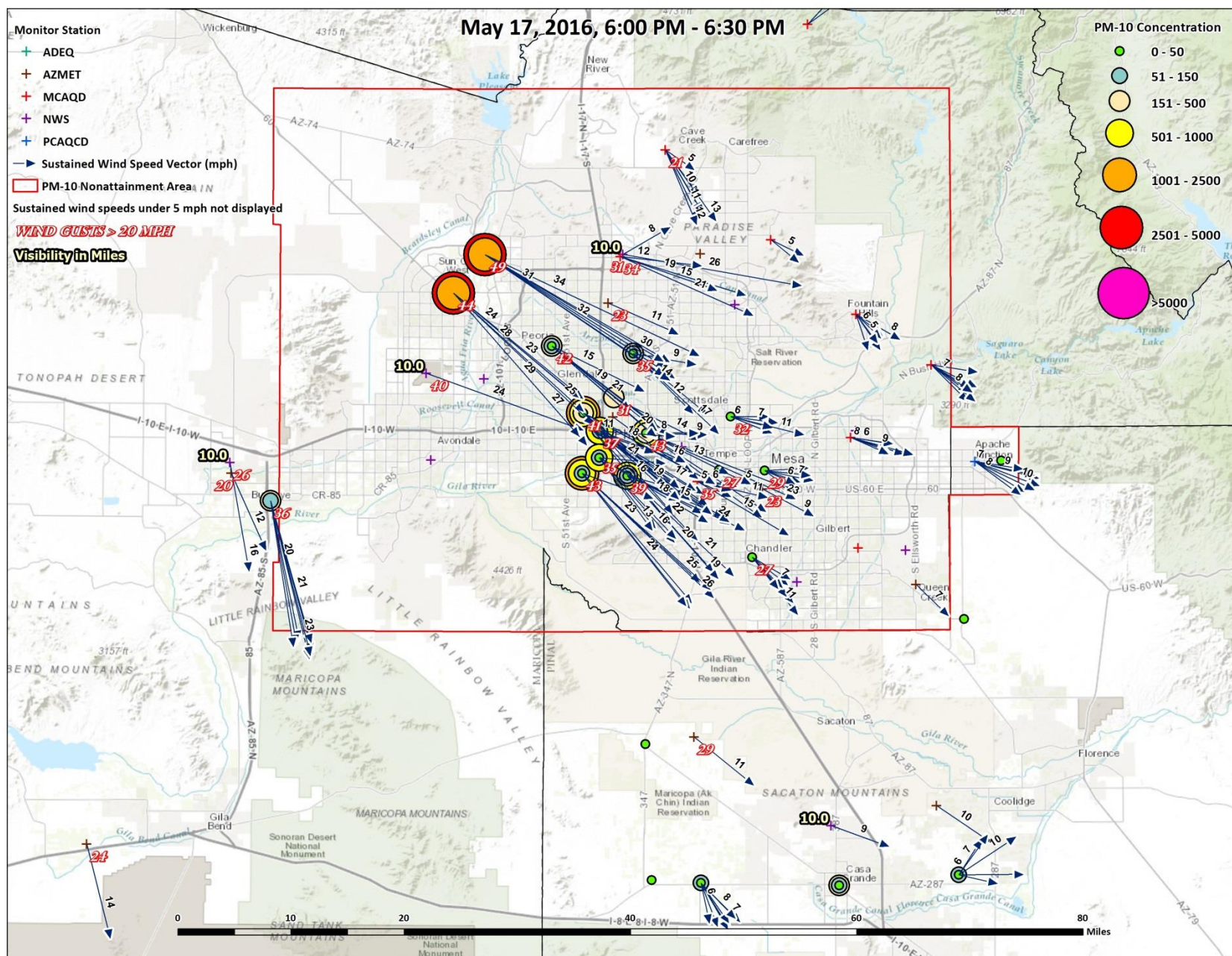


Figure 3-6. May 17, 2016, 6:00 PM – 6:30 PM.



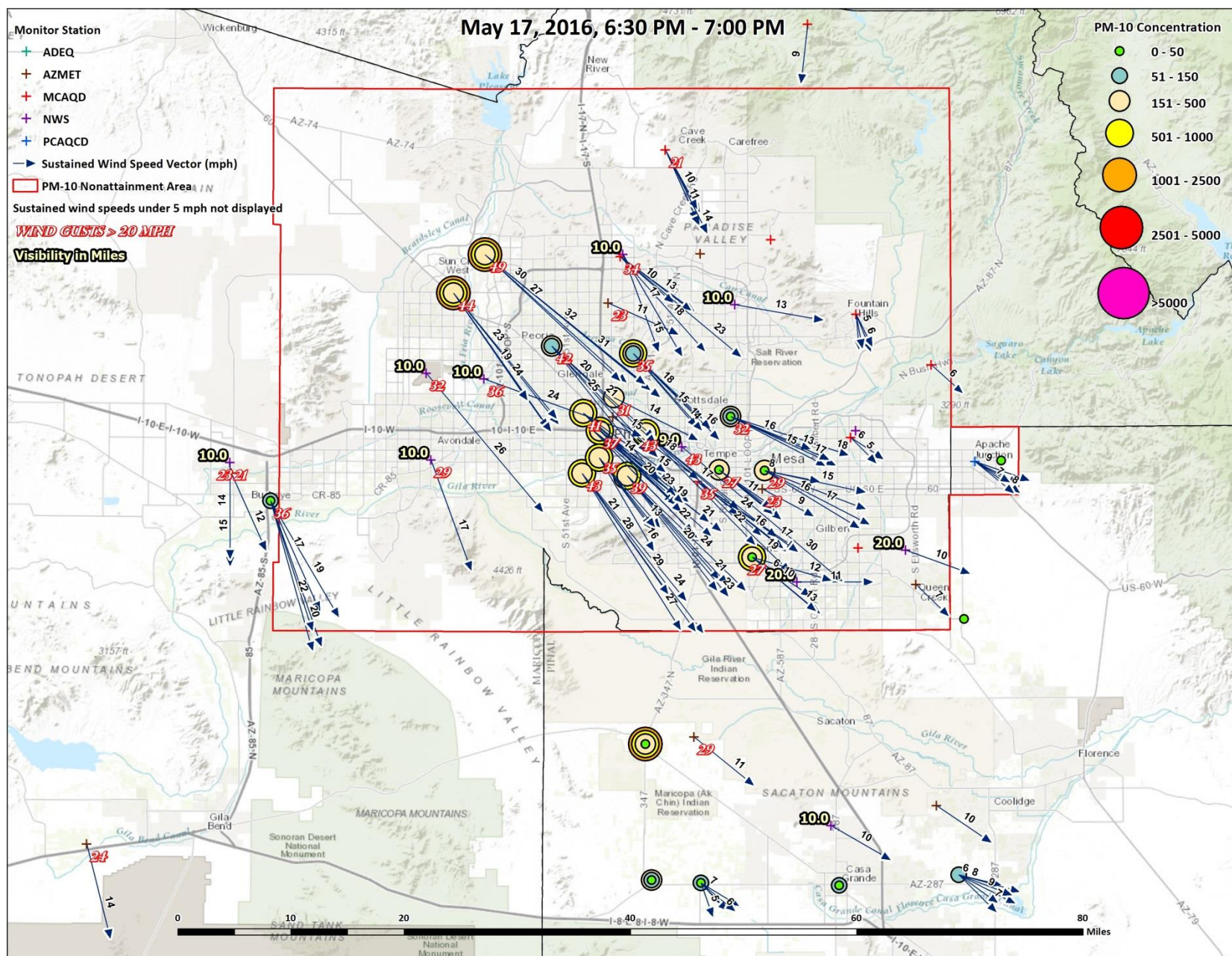


Figure 3-7. May 17, 2016, 6:30 PM – 7:00 PM.



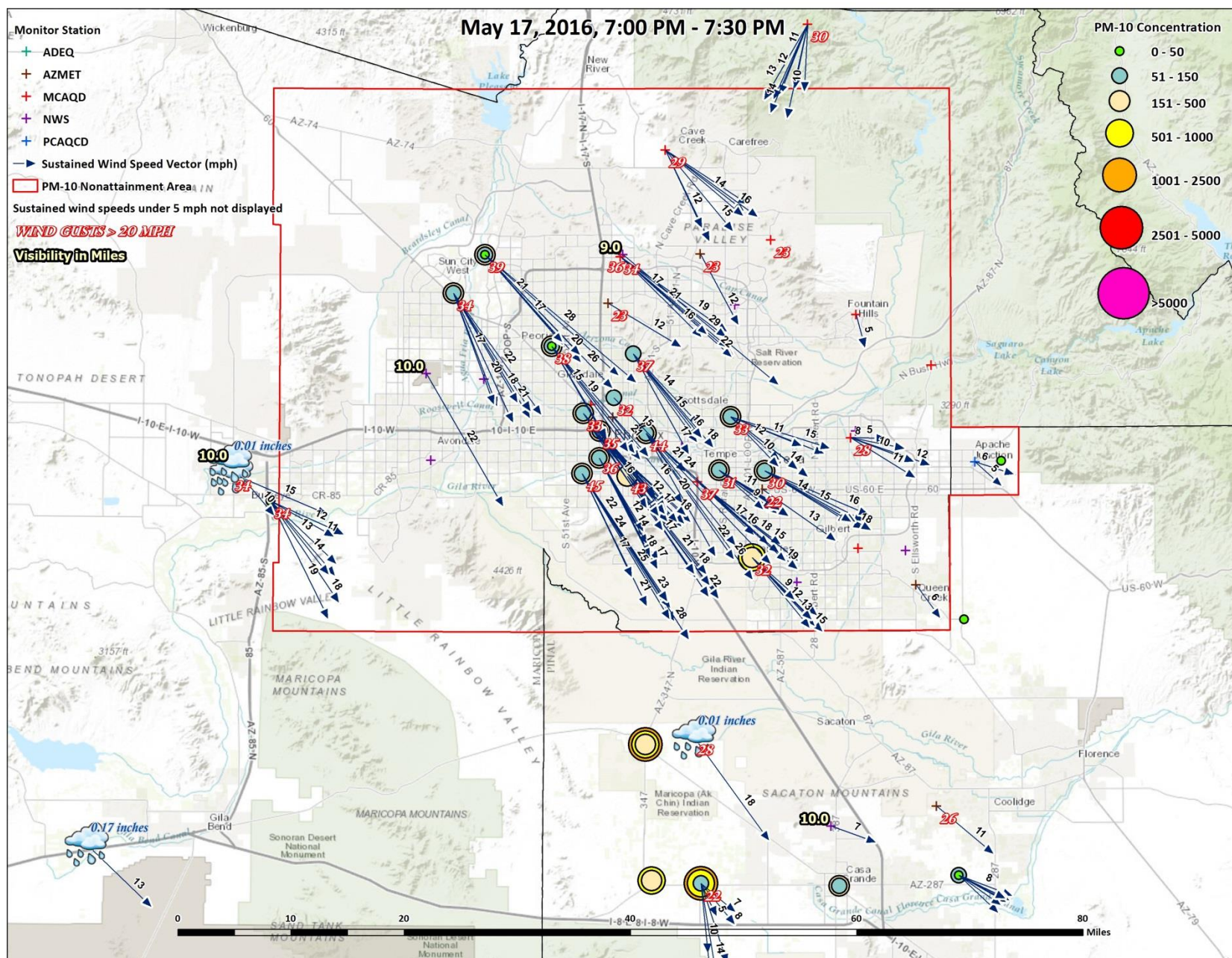


Figure 3-8. May 17, 2016, 7:00 PM – 7:30 PM.



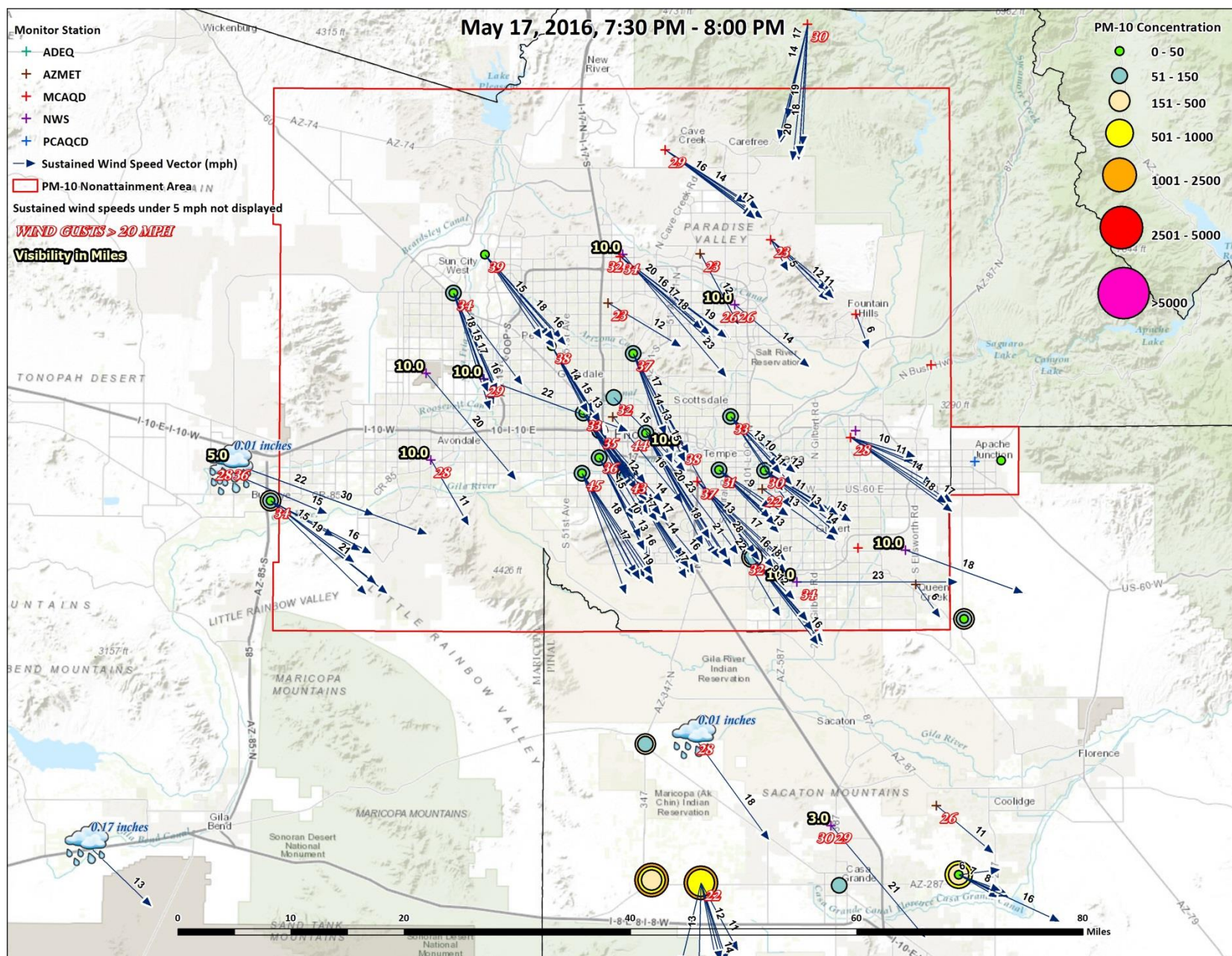


Figure 3-9. May 17, 2016, 7:30 PM – 8:00 PM.



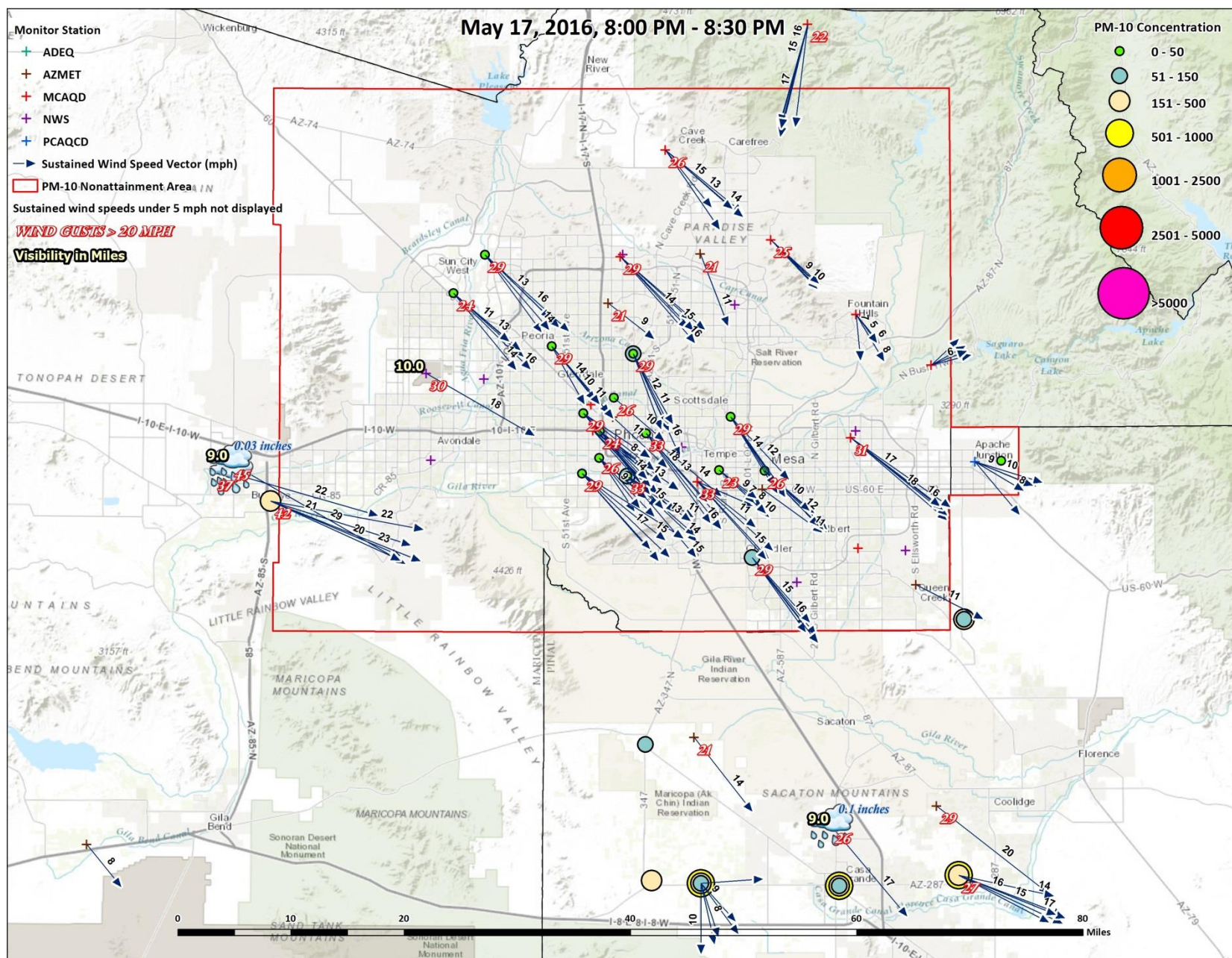


Figure 3-10. May 17, 2016, 8:00 PM – 8:30 PM.



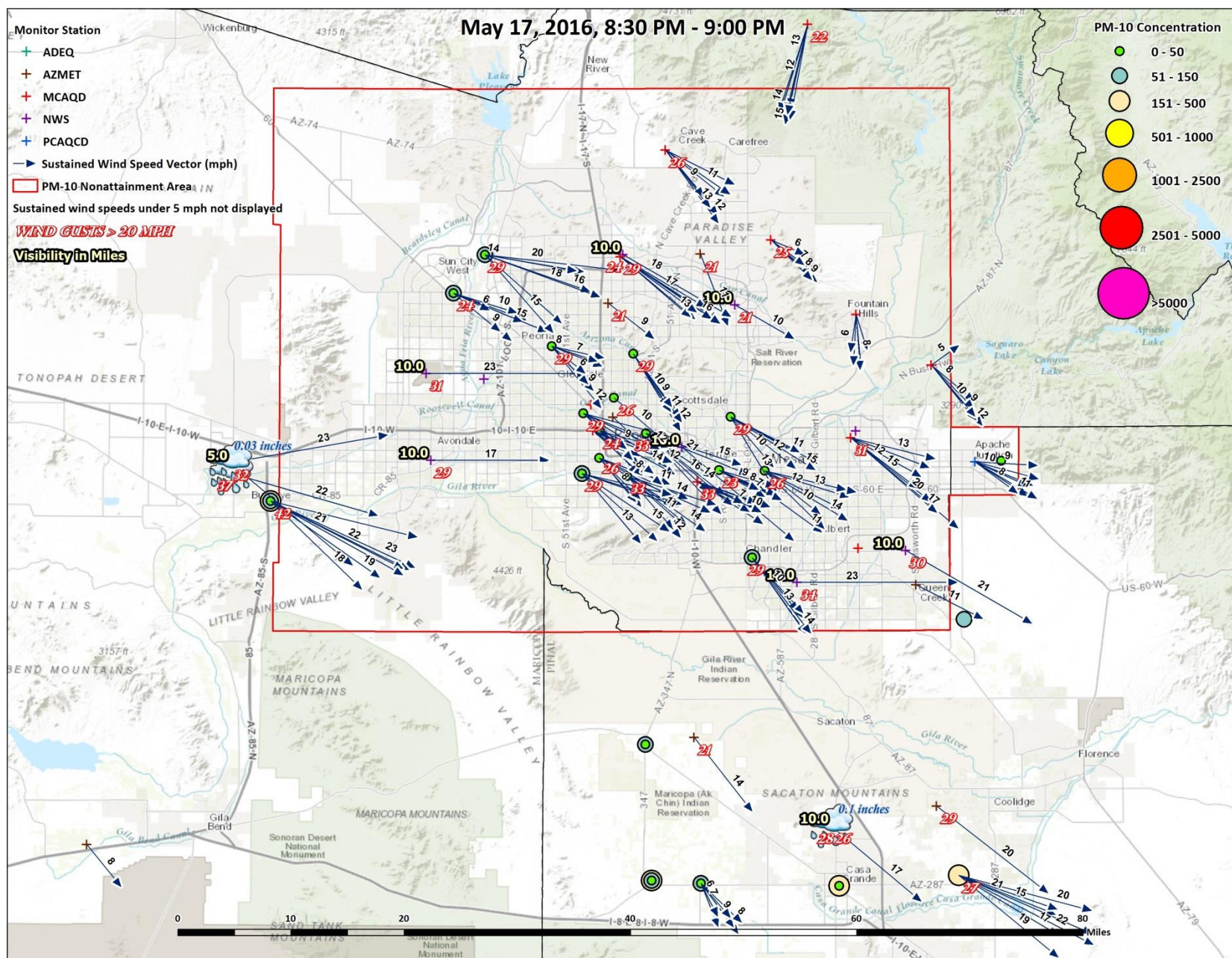


Figure 3-11. May 17, 2016, 8:30 PM – 9:00 PM.







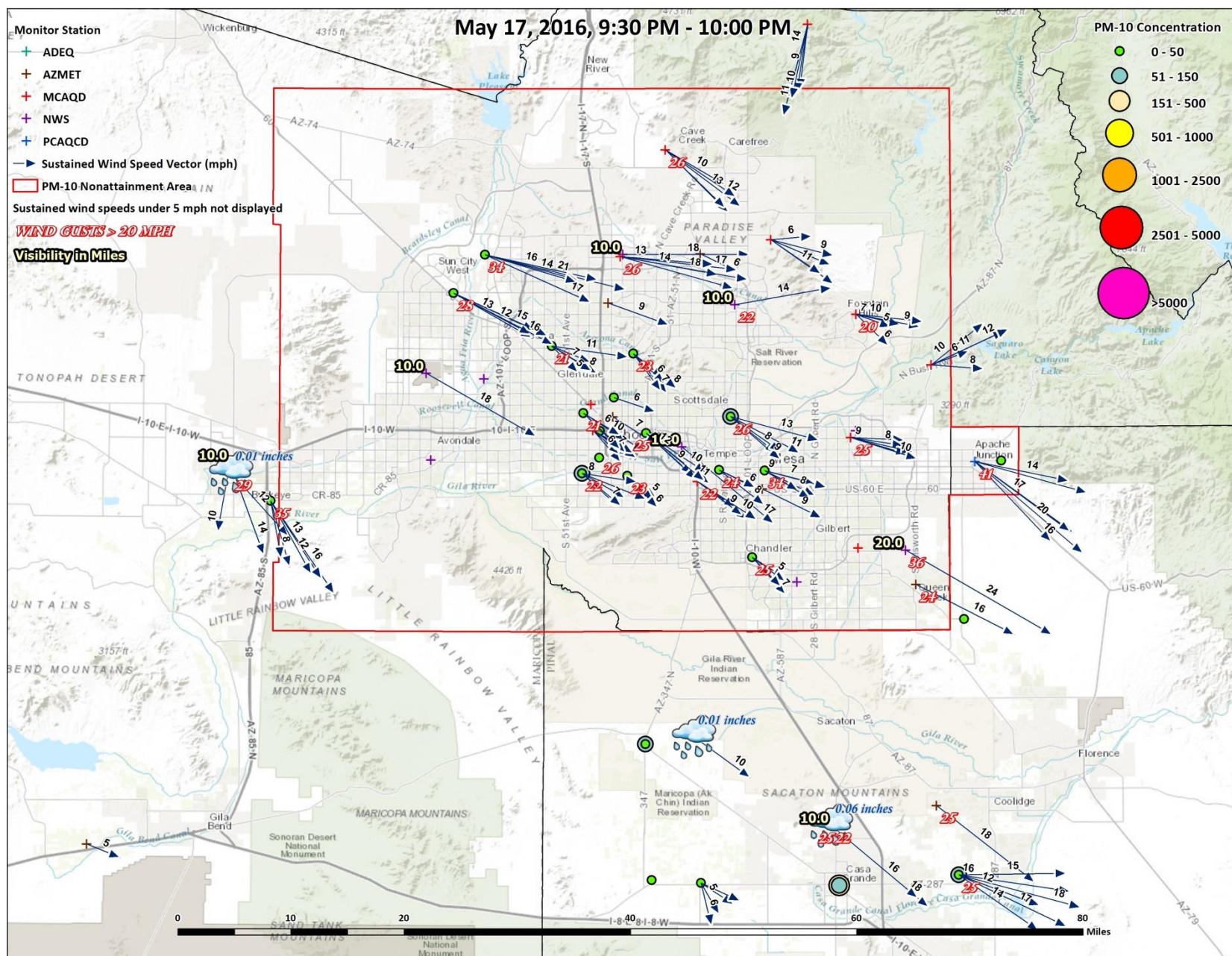


Figure 3-13. May 17, 2016, 9:30 PM – 10:00 PM.



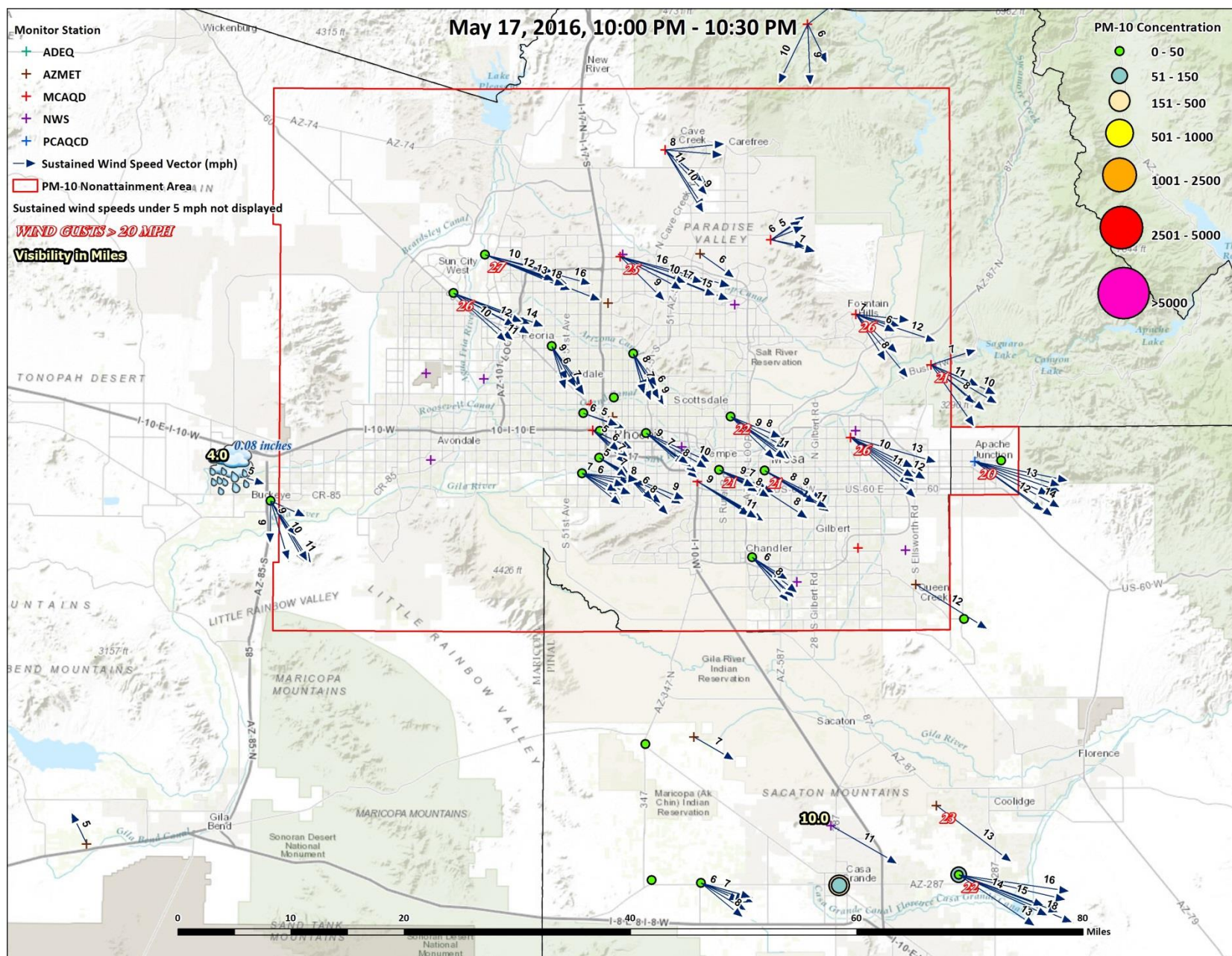


Figure 3-14. May 17, 2016, 10:00 PM – 10:30 PM.



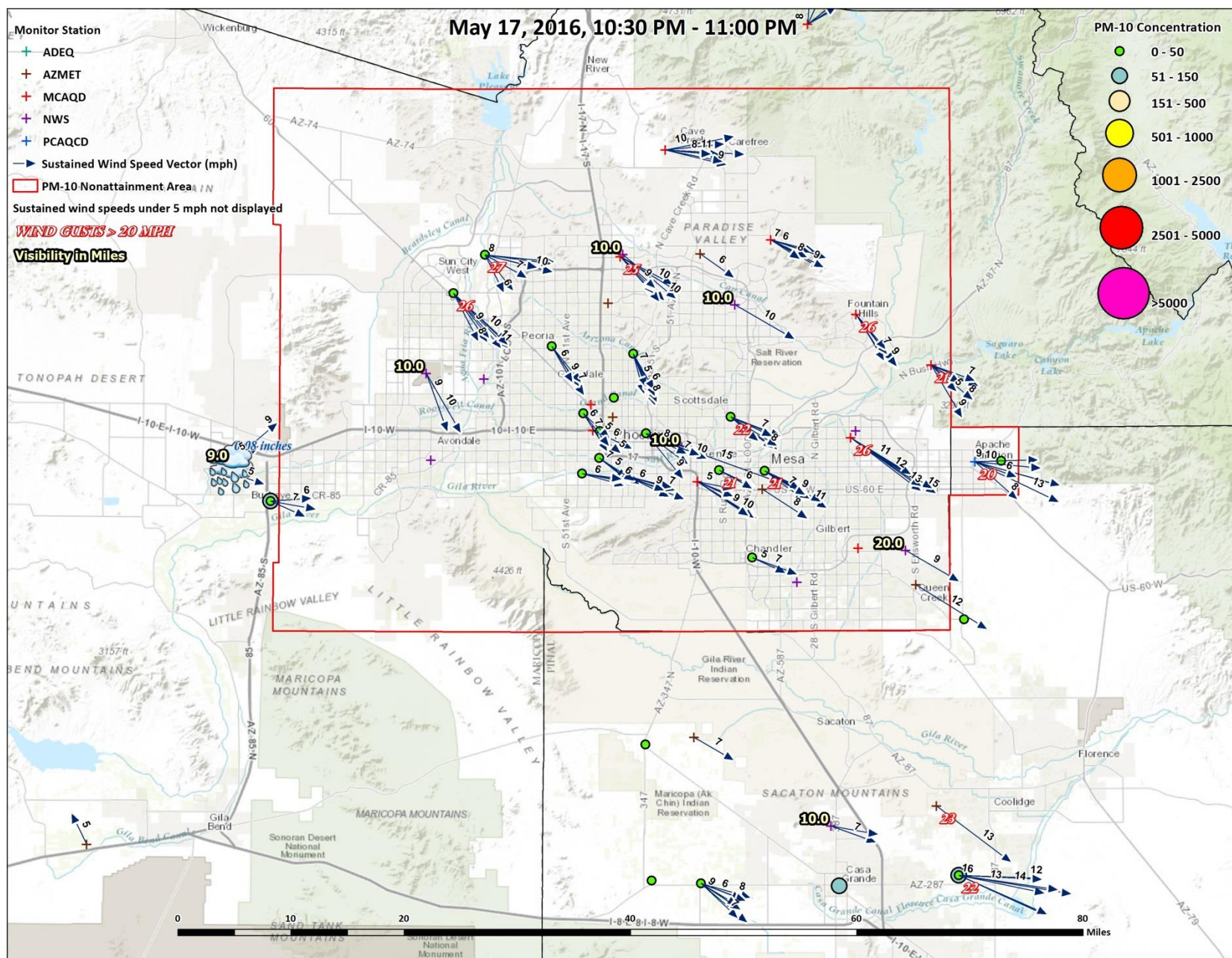


Figure 3-15. May 17, 2016, 10:30 PM – 11:00 PM.

## **Visibility Photos**

Visibility photos (White Tank Mountain) taken within the Maricopa County PM<sub>10</sub> nonattainment area show the degradation of visibility as windblown dust from the high wind dust event passes through the nonattainment area. These photos provide additional evidence of the clear causal relationship between transported windblown dust from the high wind dust event and the exceedance at the Dysart monitor. Figure 3–16 displays visibility conditions on May 17, 2016 before arrival of the high wind dust event (1:00 PM) and during the high wind dust event (6:00 PM), respectively.



**Figure 3-16.** Visibility photos on May 17, 2016 at 1:00 PM and 6:00 PM, respectively.

## **Conclusion**

In summary, on May 17, 2016 a high wind dust event passed through the Maricopa County PM<sub>10</sub> nonattainment area which generated and transported windblown dust in the form of PM<sub>10</sub> resulting in elevated concentrations of PM<sub>10</sub> across the nonattainment area and an exceedance of the PM<sub>10</sub> standard at the Dysart monitor. The monitored PM<sub>10</sub> concentrations on May 17, 2016 at the exceeding Dysart monitor were compared to historical concentrations at the site in several analyses. The analyses confirm a



clear causal relationship between the exceedance and the high wind dust event as compared to historical high wind dust event days and non-exceedance days.

In addition to the comparison to historical concentrations, figures displaying the chronological and spatial distribution of wind, visibility and PM<sub>10</sub> concentration data confirm that (1) sustained winds above 25 mph were high enough to entrain significant windblown dust from natural desert areas and disturbed, anthropogenic source areas subject to reasonable controls; (2) PM<sub>10</sub> concentrations peaked when winds speeds peaked; and (3) visibility conditions at nonattainment area monitors where the thunderstorm outflow generated windblown dust passed over or by were degraded as a result of the transported windblown dust from the high wind dust event. These analyses taken as a whole provide strong weight of evidence that the high wind dust event affected air quality in such a way that there exists a clear causal relationship between the high wind dust event on May 17, 2016 and the PM<sub>10</sub> exceedance at the Dysart monitor on May 17, 2016, thus satisfying the clear causal relationship criterion.

## **IV. NATURAL EVENT AND NOT REASONABLY CONTROLLABLE OR PREVENTABLE CRITERIA**

### **Natural Event**

40 CFR Section 50.14(c)(3)(iv)(E) requires a demonstration that the exceptional event was either a human activity that is unlikely to recur at a particular location or was a natural event. The revised exceptional events rule defines a natural event at 40 CFR Section 50.1(k) as “an event and its resulting emissions, which may recur at the same location, in which human activity plays little or no direct causal role. For purposes of the definition of a natural event, anthropogenic sources that are reasonably controlled shall be considered to not play a direct role in causing emissions.” Additionally, specific to high wind dust events, 40 CFR Section 50.14(b)(5)(ii) states that “[t]he Administrator will consider high wind dust events to be natural events in cases where windblown dust is entirely from natural undisturbed lands in the area or where all anthropogenic sources are reasonably controlled as determined in accordance with paragraph b(8) of this section.”

The clear causal relationship demonstration in the prior chapter found that high wind dust events can recur at the exceeding Dysart monitor. Figure 3–1 indicates that 7 prior high wind dust events have occurred in the past five years at the monitor. The clear causal relationship demonstration also found that the PM<sub>10</sub> emissions which caused the exceedance at the Dysart monitor were associated with windblown dust generated and transported by sustained wind speeds that exceeded the default high wind threshold of 25 mph established in 40 CFR Section 50.14(b)(5)(iii). EPA states in the preamble to the revised exceptional events rule that, “[f]or high wind dust events, if sustained wind speeds are above the high wind threshold and the anthropogenic emissions sources are reasonably controlled, it is more likely that human activity plays little or no direct role in causing emissions.” The following section of this chapter demonstrates that reasonable controls were in place on all windblown dust anthropogenic sources in the Maricopa County PM<sub>10</sub> nonattainment area during the high wind dust event. For these reasons, the high wind dust event on May 17, 2016, qualifies as a natural event.

### **Not Reasonably Controllable or Preventable**

40 CFR Section 50.14(c)(3)(iv)(D) requires a demonstration that the exceptional event was both not reasonably controllable and not reasonably preventable. 40 CFR Section 50.14(b)(8) provides the demonstrations needed to establish that the exceptional event was not reasonably controllable or preventable for all exceptional events. Additionally, specific requirements regarding the not reasonably controllable or preventable criterion related to high wind dust events are provided in 40 CFR Section 50.14(b)(5).

40 CFR Sections 50.14(b)(8)(i) through (iii) states that “[t]he not reasonably controllable or preventable criterion has two prongs that the State must demonstrate: prevention and control. (ii) The Administrator shall determine an event is not reasonably preventable if the State shows that reasonable measures to prevent the event were applied at the time of the event. (iii) The Administrator shall determine that an event is not reasonably controllable if the State shows that reasonable measures to control the impact of the event on air quality were applied at the time of the event.”

Regarding whether the event was not reasonably preventable, the revised exceptional events rule has specific regulations for high wind dust events that exempt a State from needing to provide a case-specific justification that the event was not reasonably preventable (40 CFR Section 50.14(b)(5)(iv)). In keeping with the specific high wind dust event regulation, and because the high winds that entrain the windblown dust are by nature unpreventable, a case-specific justification that the high wind dust event on May 17, 2016 was not preventable is not needed or presented in this documentation.

Regarding whether the event was not reasonably controllable, 40 CFR Section 50.14(b)(8)(iv) states that EPA “shall assess the reasonableness of available controls for anthropogenic sources based on information available as of the date of the event”. Additionally, 40 CFR Section 50.14(b)(8)(v) provides deference to controls in a state implementation plan that have been approved by EPA within five years of the event date, “the Administrator shall consider enforceable control measures implemented in accordance with a state implementation plan...approved by the EPA within 5 years of the date of the event, that address the event-related pollutant and all sources necessary to fulfill the requirements of the Clean Air Act for the state implementation plan...to be reasonable controls with respect to all anthropogenic sources that have or may have contributed to the monitored exceedance or violation.”

The *MAG 2012 Five Percent Plan for PM-10 for the Maricopa County Nonattainment Area* contains a wide variety of control measures and projects that have been implemented to reduce and control PM<sub>10</sub> emissions, including PM<sub>10</sub> emissions generated under high wind conditions, which were in place and implemented at the time of the event. Requirements to reduce and control PM<sub>10</sub> emissions in the plan apply to a broad range of sources including: unpaved roads and shoulders, leaf blowers, unpaved parking lots, vacant lots, sweeping streets with certified sweepers, off-road vehicle use, open and recreational burning, residential wood burning, covered vehicle loads, dust generating operations, nonmetallic mineral processing, and other unpermitted sources. EPA published final approval of the MAG 2012 Five Percent Plan on June 10, 2014 (79 FR 33107).

On September 12, 2016 the U.S. Court of Appeals for the Ninth Circuit issued an opinion in the lawsuit filed by the Arizona Center for Law in the Public Interest (*Bahr v. U.S. EPA*) to challenge the Environmental Protection Agency approval of the MAG 2012 Five Percent Plan. The Court upheld EPA’s determination that the control measures in the plan did not need to be updated and also upheld EPA’s exclusion of PM<sub>10</sub> exceedances in 2011 and 2012 as exceptional events caused by high wind dust events. The Court remanded the contingency measures in the plan to EPA for further consideration. Because EPA has approved the MAG 2012 Five Percent Plan within five years of the high wind dust event, and the approved plan addresses the event-related pollutant and all sources necessary to fulfill the requirements of the Clean Air Act, and because the State is not currently under obligation to revise the state implementation plan, the controls in the MAG 2012 Five Percent Plan are considered reasonable controls with respect to all anthropogenic sources that have or may have contributed to the monitored exceedance.

Specific to high wind dust events, 40 CFR Section 50.14(b)(5)(v) states that “[w]ith respect to the not reasonably controllable criterion of paragraph (c)(3)(iv)(D) of this section, dust controls on an anthropogenic source shall be considered reasonable in any case in which the controls render the anthropogenic source as resistant to high winds as natural undisturbed lands in the area affected by the high wind dust event. The Administrator may determine lesser controls reasonable on a case-by-case basis.”

When evaluating this regulation, EPA considers whether wind speeds were above the high wind threshold (25 mph default) during the event as an important indicator for whether or not the implemented controls

were reasonable. In the preamble to the revised exceptional events rule, EPA states that, “[t]he EPA will continue to consider an area’s high wind threshold when reviewing demonstrations for events in a nonattainment or maintenance area where the EPA has approved a SIP, TIP or FIP within 5 years of the date of the event. For a demonstration in such a case, the not reasonably controllable criterion hinges only on implementation of the control measures in the SIP, TIP or FIP, not on the content of those measures. For events with sustained wind speeds above the high wind threshold that occur simultaneously with high monitored PM concentrations, it is very plausible that SIP, TIP, or FIP controls were being implemented and the high PM concentrations resulted from emissions generated by sources in the area despite implementation of those controls...Therefore, the comparison of sustained wind speeds during an event to the high wind threshold will help the EPA Regional offices determine what evidence must be included in a demonstration. Specifically, it will inform the evidence required for the not reasonably controllable or preventable criteria, the possibility of noncompliance, or emissions from non-event sources.”

The clear causal relationship demonstration in Chapter III of this documentation clearly establishes that high PM<sub>10</sub> concentrations at the exceeding monitor and throughout the nonattainment area occurred when sustained wind speeds were over the high wind threshold of 25 mph. This provides evidence that (1) the controls in place within the Maricopa County PM<sub>10</sub> nonattainment area and at the exceeding monitor during the high wind dust event on May 17, 2016 meet the requirements of 40 CFR Section 50.14(b)(5)(v) by rendering anthropogenic sources as resistant to high winds as natural undisturbed lands, and that (2) source noncompliance is less likely given the severity of the wind speeds.

Lastly, 40 CFR Section 50.14(b)(8)(viii) requires that the State must include the following components in a demonstration that addresses the not reasonably controllable or preventable criterion for prescribed fire events and certain high wind dust events: “(A) Identification of the natural and anthropogenic sources of emissions causing and contributing to the monitored exceedance or violation, including the contribution from local sources. (B) Identification of the relevant state implementation plan, tribal implementation plan, or federal implementation plan or other enforceable control measures in place for sources identified in paragraph...(A) of this section and the implementation status of these controls. (C) Evidence of effective implementation and enforcement of the measures identified in paragraph...(B) of this section.” The following sections satisfy the requirements of 40 CFR Section 50.14(b)(8)(viii).

### ***Identification of Natural and Anthropogenic Sources of Emissions***

As discussed in the narrative conceptual model and the clear causal relationship demonstration, the sources of the windblown dust in the high wind dust event on May 17, 2016 include both natural and some limited anthropogenic sources. Windblown dust was both transported to, and generated within, the Maricopa County PM<sub>10</sub> nonattainment area. The National Weather Service identified thunderstorm outflows generated in rural, undeveloped La Paz County Arizona as the initial source area of the windblown dust transported to the Maricopa County PM<sub>10</sub> nonattainment area. However, because of the widespread nature of the windblown dust as seen in the visibility photo in Figure 3–16, exact source locations of the windblown dust are not possible to identify.

The most likely natural sources given the prevailing wind patterns of the high wind event include the desert areas of western La Paz and Maricopa counties. The most likely anthropogenic sources to contribute to the exceedance at the Dysart monitor include those sources located immediately upwind (northwest) of the monitor. The immediate area (within four miles) around the Dysart monitor is almost entirely residential and commercial land uses. Anthropogenic PM<sub>10</sub> emission sources in this area may likely include, but are not limited to, vacant lots, landscaping activities, and paved road dust. Beginning approximately 5 miles northwest of the Dysart monitor are large tracks of undeveloped and developing



desert lands that would be subject to the creation of windblown dust during a high wind event. Figure 4–1 displays a recent aerial photo (2015) of the area upwind (approximately five to six miles) of the Dysart monitor.



**Figure 4-1.** Aerial photo of the immediate area upwind of the exceeding Dysart monitor.

### ***Identification of Relevant Control Measures***

As discussed above, the *MAG 2012 Five Percent Plan for PM-10 for the Maricopa County Nonattainment Area* is the latest state implementation plan approved by EPA. This plan contains a wide variety of control measures and projects that have been, and are being, implemented to reduce and control PM<sub>10</sub> emissions, including PM<sub>10</sub> emissions generated under high wind conditions, which were in place and implemented at the time of the event. Requirements to reduce and control PM<sub>10</sub> emissions in the plan apply to a broad range of sources including: unpaved roads and shoulders, leaf blowers, unpaved parking lots, vacant lots, sweeping streets with certified sweepers, off-road vehicle use, open and recreational burning, residential wood burning, covered vehicle loads, dust generating operations, nonmetallic mineral processing, and other unpermitted sources. Table 4–1 lists the control measures included in the MAG 2012 Five Percent Plan.

**Table 4-1.** Control Measures included in the MAG 2012 Five Percent Plan for PM-10 for the Maricopa County Nonattainment Area.

<b>Arizona Revised Statutes (A.R.S.)</b>	<b>Description</b>
A.R.S. § 9-500.04. Only A.3., A.5., A.6., A.7., A.8., A.9. and H.	Air quality control; definitions [city and town requirements in Area A regarding targeting unpaved roads and shoulders; leaf blower restrictions; restrictions related to parking, maneuvering, ingress and egress areas and vacant lots; requirement for certified street sweepers]
A.R.S. § 9-500.27.	Off-road vehicle ordinance; applicability; violation; classification
A.R.S. § 11-871. Only A., B. and D.4.	Emissions control; no burn; exemptions; penalty [no burn restriction for any HPA day, increased civil penalty]
A.R.S. § 11-877.	Air quality control measures [county leaf blower restrictions]
A.R.S. § 28-1098. Only A. and C.1.	Vehicle loads; restrictions; civil penalties [for safety or air pollution prevention purpose]
A.R.S. § 49-424. Only 11.	Duties of department [develop and disseminate air quality dust forecasts for the Maricopa County PM-10 nonattainment area]
A.R.S. § 49-457.01.	Leaf blower use restrictions and training; leaf blower equipment sellers; informational material; outreach; applicability
A.R.S. § 49-457.03.	Off-road vehicles; pollution advisory days; applicability; penalties
A.R.S. § 49-457.04.	Off-highway vehicle and all-terrain vehicle dealers; informational material; outreach; applicability
A.R.S. § 49-457.05. Only A., B., C., D. and I.	Dust action general permit; best management practices; applicability; definitions
A.R.S. § 49-474.01. Only A.4., A.5., A.6., A.7., A.8., A.11., B. and H.	Additional board duties in vehicle emissions control areas; definitions [county requirements for stabilization of targeted unpaved roads, alleys and shoulders; restrictions related to parking, maneuvering, ingress and egress areas and vacant lots; requirement for certified street sweepers]
A.R.S. § 49-474.05.	Dust control; training; site coordinators
A.R.S. § 49-474.06.	Dust control; subcontractor registration; fee
A.R.S. § 49-501. Only A.2., B.1., C., F. and G.	Unlawful open burning; exceptions; civil penalty; definitions [ban on outdoor fires from May 1 to September 30; deletion of recreational purpose exemption; no burn day restrictions; penalty provision]
A.R.S. § 49-541. Only 1.	Definitions [Area A]
<b>Maricopa County Air Quality Department Rules</b>	<b>Description</b>
310	Fugitive Dust from Dust-Generating Operations Adopted 1/27/10 and submitted to EPA 4/12/10 [Notice of Final Rulemaking 75 FR 78167; 12/15/10]
310.01	Fugitive Dust From Non-Traditional Sources of Fugitive Dust Adopted 1/27/10 and submitted to EPA 4/12/10 [Notice of Final Rulemaking 75 FR 78167; 12/15/10]
314	Open Outdoor Fires and Indoor Fireplaces at Commercial and Institutional Establishments Adopted 3/12/08 and submitted to EPA 7/10/08 [Notice of Final Rulemaking 74 FR 57612; 11/9/09]

**Table 4–1 (Continued)**

<b>Maricopa County Air Quality Department Rules</b>	<b>Description</b>
316	Nonmetallic Mineral Processing Adopted 3/12/08 and submitted to EPA 7/10/08 [Notice of Final Rulemaking 74 FR 58553; 11/13/09]
Appendix C	Fugitive Dust Test Methods Adopted 3/26/08 and submitted to EPA 7/10/08 [Notice of Final Rulemaking 75 FR 78167; 12/15/10]
<b>Maricopa County Ordinance</b>	<b>Description</b>
P-26	Residential Woodburning Restriction Adopted 3/26/08 and submitted to EPA 7/10/08; [Notice of Final Rulemaking 74 FR 57612; 11/9/09]
<b>Appendices to the Plan</b>	<b>Description</b>
Appendix C, Exhibit 1	Arizona Revised Statutes Listed in Table 4-1
Appendix C, Exhibit 2	Maricopa County Resolution to Evaluate Measures in the MAG 2012 Five Percent Plan for PM-10 for the Maricopa County Nonattainment Area
Appendix C, Exhibit 3	Arizona Department of Environmental Quality Dust Action General Permit
Appendix C, Exhibit 4	Arizona Department of Environmental Quality Commitment to Revise the MAG 2012 Five Percent Plan for PM-10 for the Maricopa County Nonattainment Area if Necessary for the Emerging and Voluntary Measure

In addition to the statutes, rules and regulations listed in Table 4–1, other PM<sub>10</sub> reducing control measures (e.g., paving of unpaved roads, Agricultural Best Management Practices Program, Pinal County Fugitive Dust rules, etc.) have been committed to, and implemented by, local jurisdictions throughout the Maricopa County PM<sub>10</sub> nonattainment area, and incorporated into the Arizona SIP through prior PM<sub>10</sub> plans, such as the *Revised MAG 1999 Serious Area Particulate Plan for PM-10 for the Maricopa County Nonattainment Area*, and in separate EPA actions.

### ***Implementation and Enforcement of Control Measures***

The Maricopa County Air Quality Department (MCAQD) is prepared to proactively respond to high wind events and protect human health and well-being. MCAQD’s approach consists of two primary components: routine proactive inspections, as well as surveillance inspections, conducted both during and after significant events. MCAQD routinely inspects dust control-permitted sites and increases the frequency of inspections for permits covering areas of ten acres or more. Non-metallic surface mining sources under Rule 316 are also regularly inspected multiple times every year. Maricopa County also responds to the majority of air quality complaints within 24 hours.

Maricopa County monitors the five-day Maricopa County Dust Control Forecast issued by ADEQ to identify the potential for elevated PM<sub>10</sub> pollution levels due to high winds or stagnant conditions. When a High Pollution Advisory (HPA) is issued for Maricopa County, MCAQD conducts additional increased



surveillance before, during, and after the forecast event(s). MCAQD also conducts event surveillance and post-event activities after an exceptional event that had not been forecast (i.e., those instances in which an HPA had not been issued).

The Maricopa County Dust Control Forecast issued on May 16, 2016, indicated a Moderate risk for unhealthy PM<sub>10</sub> levels, due to possible wind speeds above 30 mph associated with thunderstorm outflows. Actual wind speeds met and/or exceeded predicted wind speeds, leading to the exceedance at the Dysart monitor.

Pre-event surveillance consists of surveying high-risk areas for any dust-generating activities, educating sources of the impending HPA event, and issuing violations for failure to comply with local, state, or federal regulations. During the event, MCAQD inspectors survey high-risk areas to confirm that control measures are in place, document any violations, and contact other regulatory agencies if necessary. Post-event activities include continued surveys of high-risk areas, re-inspecting sources within two business days of receiving a violation, and an internal MCAQD debriefing of event activities.

Currently, a total of 16 MCAQD air monitoring sites are equipped to allow the automatic reporting of monitored readings at 5-minute intervals. The real-time data reporting system includes a mechanism to alert MCAQD inspectors when PM<sub>10</sub> concentrations are elevated. The system allows MCAQD inspectors to review concentrations at the monitor and to consult the National Weather Service website to check for weather event activity. This capability allows the MCAQD responder to identify regional events and monitor specific issues. If necessary, the MCAQD responders can inform nearby stakeholders and local governments of the elevated PM<sub>10</sub> concentrations.

An evaluation of all inspection reports, air quality complaints, compliance reports, and other documentation indicate no evidence of unusual anthropogenic-based PM<sub>10</sub> emissions. During the time period of May 14 through May 20, 2016, MCAQD inspectors conducted a total of 301 inspections of permitted facilities, of which 194 were at fugitive dust sources.

During this 7-day period, a total of 10 Notice of Violations were issued county-wide for PM<sub>10</sub> and non-PM<sub>10</sub>-related violations. No violations were issued to fugitive dust sources within a 4-mile radius of the exceeding Dysart site.

Also during this 7-day period, a total of 30 vacant lots were inspected, but only one 60-day letter was issued for a non-compliant vacant lot and/or unpaved parking lots. This vacant lot was not located within 4-miles of the exceeding Dysart site. Additionally, during the period of May 14, 2016 through May 20, 2016, no unusual agricultural activity in the upwind vicinity of the exceeding Dysart monitor was noted by the Arizona Department of Environmental Quality.

## ***Conclusion***

In summary, the information presented in this chapter addresses whether the high wind dust event on May 17, 2016 was not reasonably preventable or controllable. EPA's approval of the *MAG 2012 Five Percent Plan for PM-10 for the Maricopa County Nonattainment Area* on June 10, 2014 allows the control measures in that plan to be established as reasonable controls. Sustained wind speeds were above the high wind threshold during the event, making it less likely that uncontrolled anthropogenic sources were the main source of the windblown dust emissions. The natural and anthropogenic sources of windblown dust during the event were identified, along with the enforceable control measures in place and implemented during the event. Extensive documentation of enforcement of the implemented control measures was

provided by the Maricopa County Air Quality Department and the Arizona Department of Environmental Quality, revealing no evidence of unusual anthropogenic-based PM<sub>10</sub> emissions. For these reasons, the information presented in this chapter clearly demonstrates that the high wind dust event on May 17, 2016 was neither reasonably preventable nor controllable.

## V. SUMMARY CONCLUSION

The documentation presented in the preceding chapters provides ample weight of evidence that the exceedance of the PM<sub>10</sub> standard on May 17, 2016 at the Dysart monitor in the Maricopa County nonattainment area was caused by a high wind dust event, qualifying the exceedance for exclusion under the revised exceptional events rule. A bulleted summary of the demonstrations included in this documentation that meet the requirements of 40 CFR Sections 50.14(c)(3)(iv)(A) through (E) is provided below:

- The narrative conceptual model discussed the meteorological conditions (thunderstorm outflows generated by low pressure system) that led to the creation of the high wind dust event on May 17, 2016. The narrative highlighted that sustained winds of 20 to 30 mph and gusts of 40 to 45 mph were sufficient to transport and generate windblown dust from natural sources and overwhelm reasonable controls on anthropogenic sources. The National Weather Service identified La Paz County, Arizona as the source area where the thunderstorm outflow generated windblown dust originated. The windblown dust then transported into the Maricopa County PM<sub>10</sub> nonattainment area with the passing of the thunderstorm outflow. Tables and figures showing PM<sub>10</sub> concentrations during the event were included with the narrative, indicating the PM<sub>10</sub> concentrations on May 17, 2016 were elevated in conjunction with high winds and as compared to concentrations before and after the event.
- The monitored PM<sub>10</sub> concentration on May 17, 2016 at the exceeding Dysart monitor was compared to historical concentrations at the site in several analyses. The analyses confirm a clear causal relationship between the exceedance and the high wind dust event as compared to historical high wind dust event days and non-exceedance days.

In addition to the comparison to historical concentrations, figures displaying the chronological and spatial distribution of wind, visibility and PM<sub>10</sub> concentration data confirm that (1) sustained winds above 25 mph were high enough to entrain significant windblown dust from natural desert areas and disturbed, anthropogenic source areas subject to reasonable controls; (2) PM<sub>10</sub> concentrations peaked when winds speeds peaked; and (3) visibility conditions at nonattainment area monitors where the thunderstorm outflow generated windblown dust passed over or by were degraded as a result of the transported windblown dust from the high wind dust event. These analyses taken as a whole provide strong weight of evidence that the high wind dust event affected air quality in such a way that there exists a clear causal relationship between the high wind dust event on May 17, 2016 and the PM<sub>10</sub> exceedance at the Dysart monitor on May 17, 2016, thus satisfying the clear causal relationship criterion.

- The comparison to historical concentrations and the clear causal relationship demonstration found that high wind dust events can frequently recur at the exceeding Dysart monitor and that the PM<sub>10</sub> emissions which caused the exceedance at the Dysart monitor were associated with windblown dust generated and transported by sustained wind speeds that exceeded the default high wind threshold of 25 mph. EPA states that, “[f]or high wind dust events, if sustained wind speeds are above the high wind threshold and the anthropogenic emissions sources are reasonably controlled, it is more likely that human activity plays little or no direct role in causing emissions.” Since reasonable controls were in place on all significant anthropogenic sources of windblown dust in

the Maricopa County PM<sub>10</sub> nonattainment area during the event and sustained winds were greater than 25 mph, the high wind dust event on May 17, 2016, qualifies as a natural event.

- EPA's approval of the *MAG 2012 Five Percent Plan for PM-10 for the Maricopa County Nonattainment Area* on June 10, 2014 allows the control measures in that plan to be established as reasonable controls. Sustained wind speeds were above the high wind threshold during the event, making it less likely that uncontrolled anthropogenic sources were the main source of the windblown dust emissions. The natural and anthropogenic sources of windblown dust during the event were identified, along with the enforceable control measures in place and implemented during the event. Extensive documentation of enforcement of the implemented control measures was provided by the Maricopa County Air Quality Department and the Arizona Department of Environmental Quality, revealing no evidence of unusual anthropogenic-based PM<sub>10</sub> emissions. For these reasons, the high wind dust event on May 17, 2016 was neither reasonably preventable nor controllable.